

(Place/Transition) Petri Nets

Hans.Vangheluwe@uantwerpen.be

Petri Nets

1. Finite State Automata
2. Petri net notation and definition (no dynamics)
3. Introducing State: Petri net marking
4. Petri net dynamics
5. Capacity Constrained Petri nets
6. Petri net models for ...
 - FSA
 - Nondeterminism
 - Data Flow Computation
 - Communication Protocols

7. Queueing Systems
8. Petri nets vs. State Automata
9. Analysis of Petri nets
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 - Liveness and Deadlock
 - State Reachability
 - State Coverability
 - Persistence
 - Language Recognition
10. The Coverability Tree
11. Extensions: colour, time, ...
12. LTL and CTL

Finite State Automaton

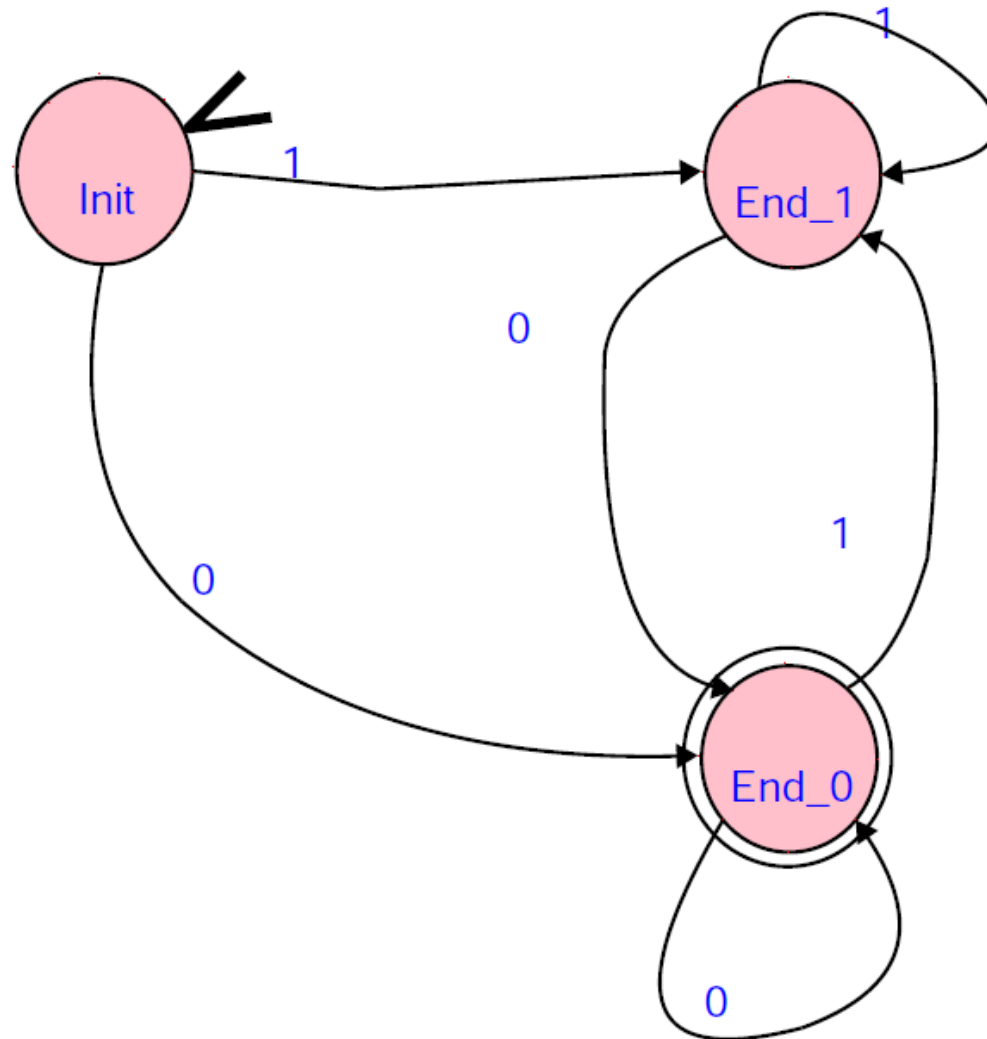
$$(E, X, f, x_0, F)$$

- E is a finite alphabet
- X is a finite state set
- f is a state transition function,
 $f : X \times E \rightarrow X$
- x_0 is an initial state, $x_0 \in X$
- F is the set of final states

Dynamics (x' is next state):

$$x' = f(x, e)$$

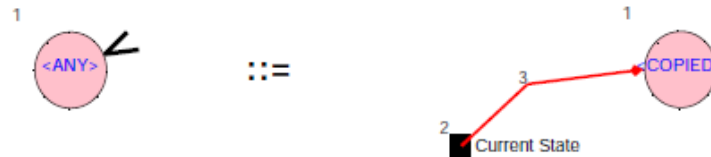
FSA graphical/visual notation: State Transition Diagram



FSA Operational Semantics

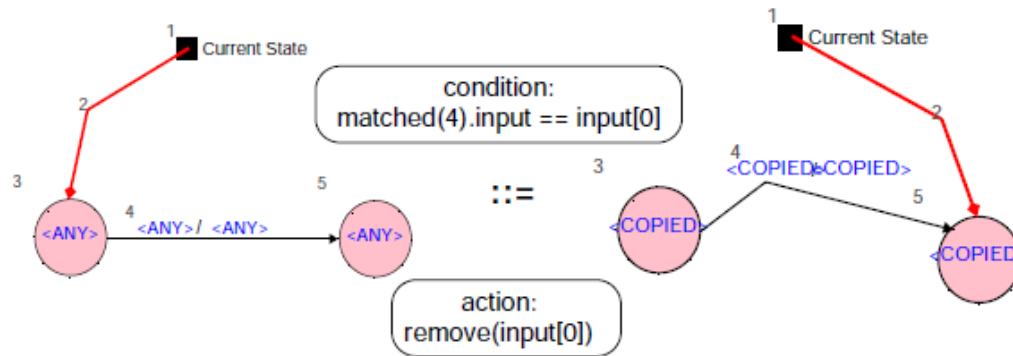
Rule 1 (priority 3)

Locate Initial Current State



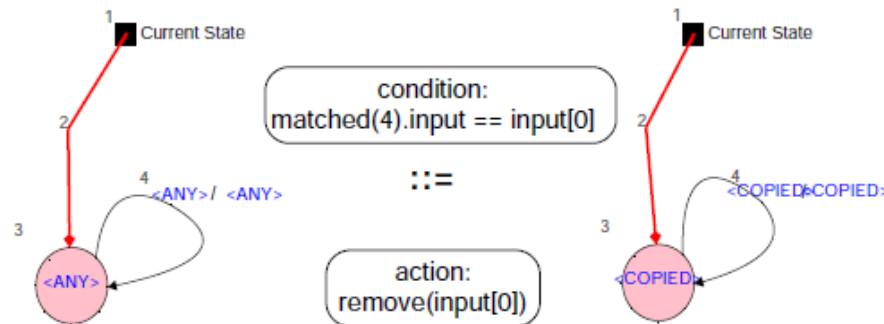
Rule 2 (priority 1)

State Transition



Rule 3 (priority 2)

Local State Transition



Simulation steps

— AToM3 v0.2.1 using: FiniteStateAutomata

File Model Transformation Graphics

FiniteStateAutomata Model ops Edit entity Connect Delete Insert model Expand model Exit

Visual ops Smooth Insert point Delete point Change connector

State

```
graph LR; Start(( )) --> Init((Init)); Init -- 1 --> End1((End_1)); End1 -- 1 --> End1; End1 -- 0 --> End0((End_0)); End0 -- 0 --> End0; End0 -- 1 --> End1; End0 -- 0 --> Init;
```

Edit value

new	edit	delete
0		
1		
0		

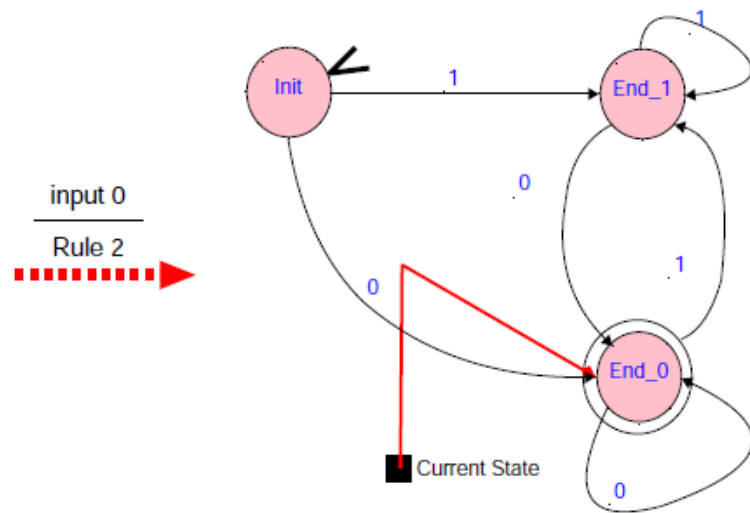
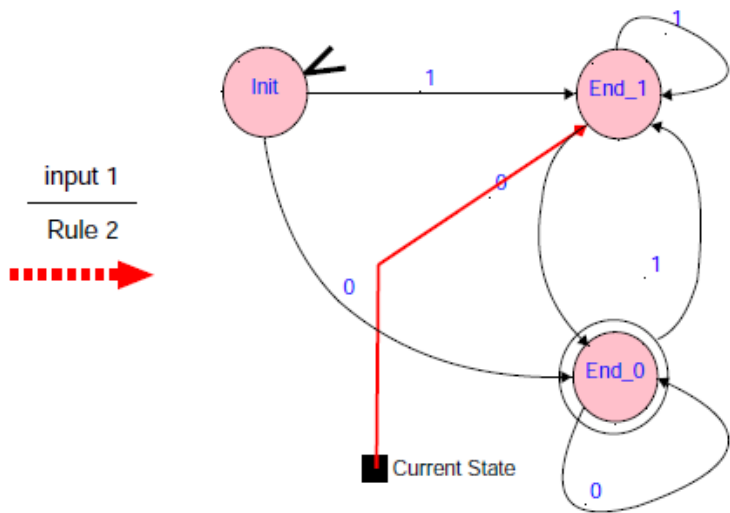
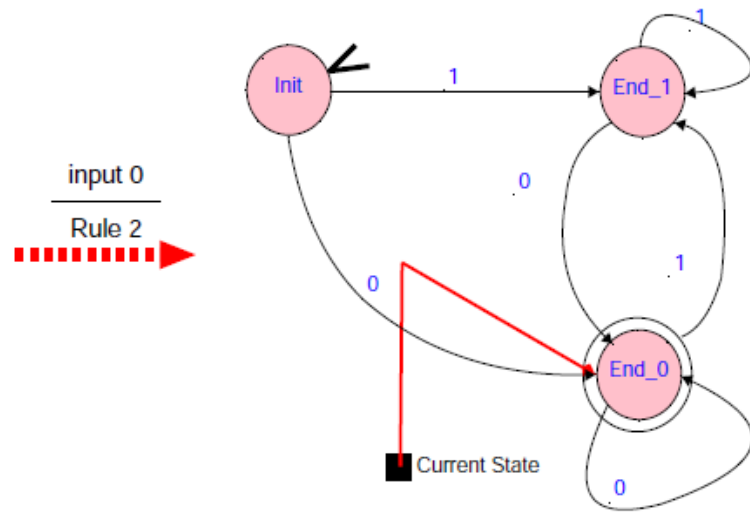
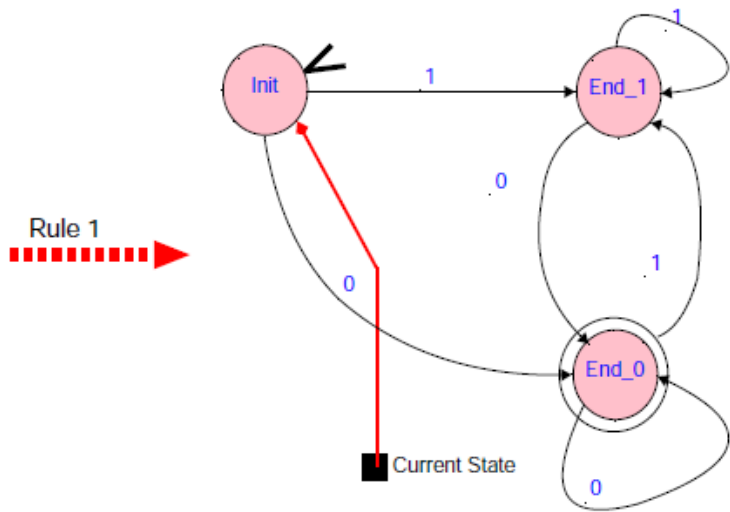
OK Cancel

— Graph-Grammar execution controls

Executing Graph-Grammar: FSASimulator

Last executed rule:

Step Continuous Close



State Automaton

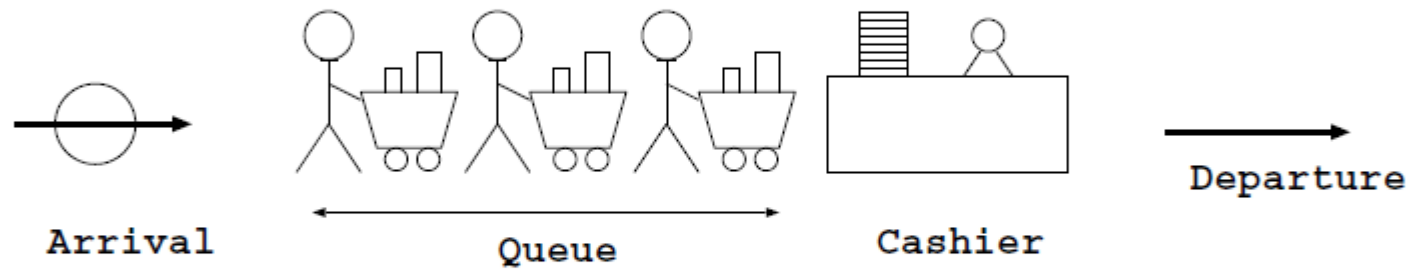
$$(E, X, \Gamma, f, x_0)$$

- E is a countable event set
- X is a countable state space
- $\Gamma(x)$ is the set of feasible or enabled events
 $x \in X, \Gamma(x) \subseteq E$
- f is a state transition function,
 $f : X \times E \rightarrow X$, only defined for $e \in \Gamma(x)$
- x_0 is an initial state, $x_0 \in X$

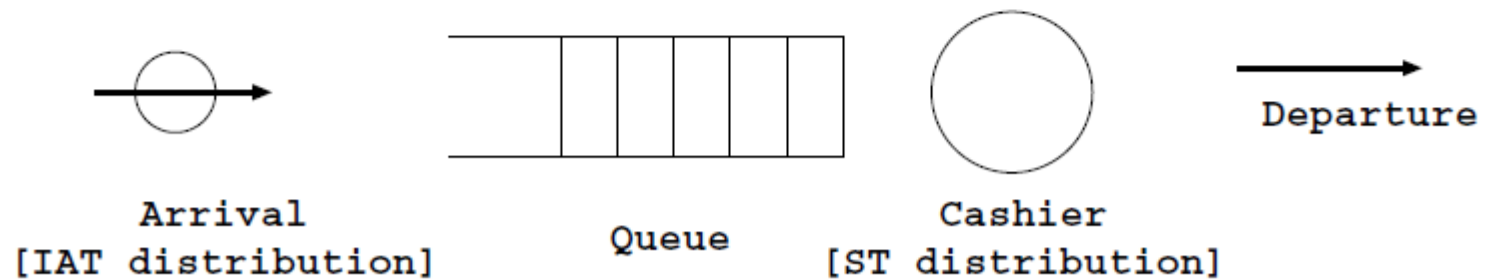
$$(E, X, \Gamma, f)$$

omits x_0 and describes a class of State Automata.

State Automata for Queueing Systems

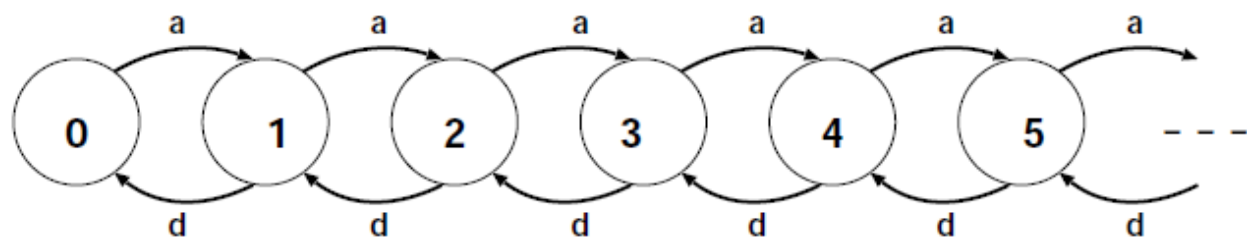


Physical View



Abstract View

State Automata for Queueing Systems: customer centered



$$E = \{a, d\}$$

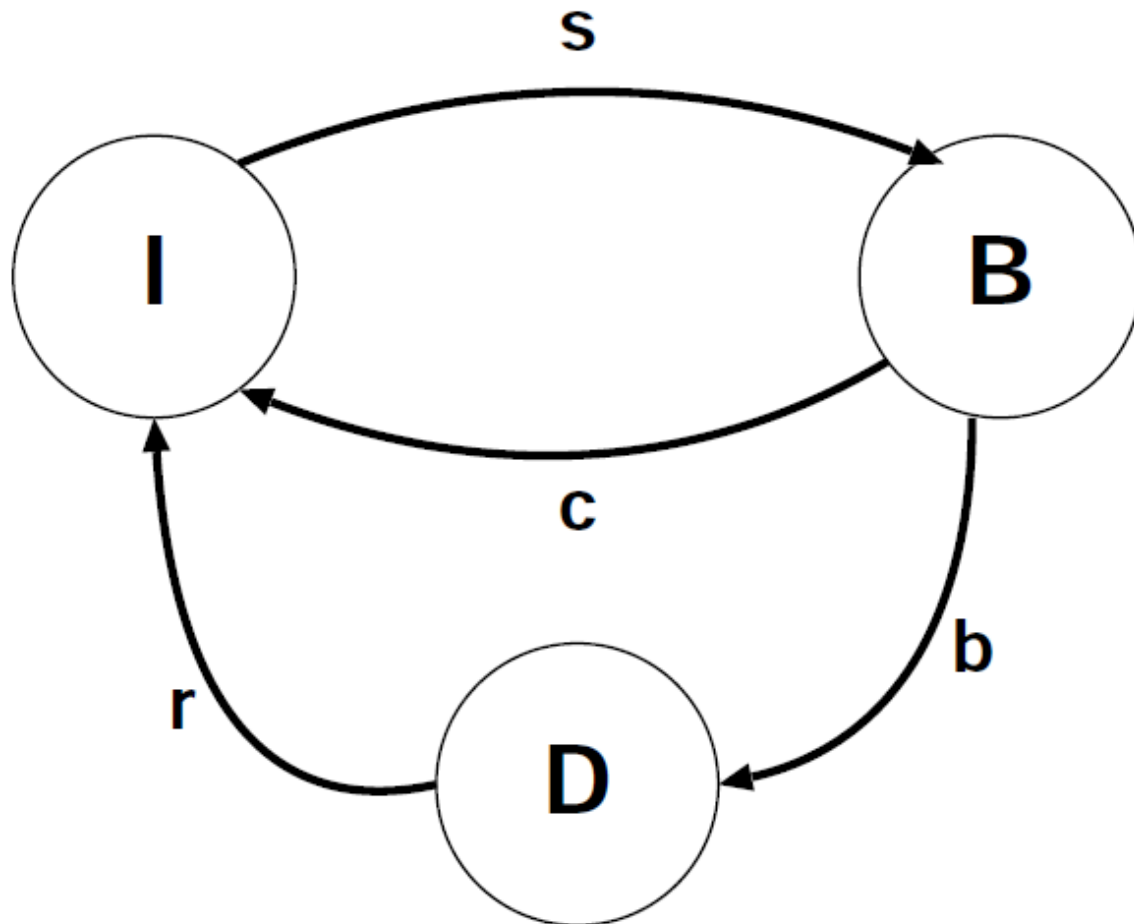
$$X = \{0, 1, 2, \dots\}$$

$$\Gamma(x) = \{a, d\}, \forall x > 0; \Gamma(0) = \{a\}$$

$$f(x, a) = x + 1, \forall x \geq 0$$

$$f(x, d) = x - 1, \forall x > 0$$

State Automata for Queueing Systems: server centered (with breakdown)



State Automata for Queueing Systems: server centered (with breakdown)

$$E = \{s, c, b, r\}$$

Events: s denotes service starts, c denotes service completes, b denotes breakdown, r denotes repair.

$$X = \{I, B, D\}$$

State: I denotes idle, B denotes busy, D denotes broken down.

$$\Gamma(I) = \{s\}, \Gamma(B) = \{c, b\}, \Gamma(D) = \{r\}$$

$$f(I, s) = B, f(B, c) = I, f(B, b) = D, f(D, r) = I$$

Limitations/extensions of State Automata

- Adding time ?
- Hierarchical modelling ?
- Concurrency by means of \times
- States are represented explicitly
- Specifying control logic, synchronisation ?

Petri nets

- Formalism similar to FSA
- Graphical/Visual notation
- C.A. Petri 1960s
- Additions to FSA:
 - Explicitly (graphically/visually) represent when event is enabled
→ describe control logic
 - Elegant notation of concurrency
 - Express non-determinism

Petri net notation and definition (no dynamics)

$$(P, T, A, w)$$

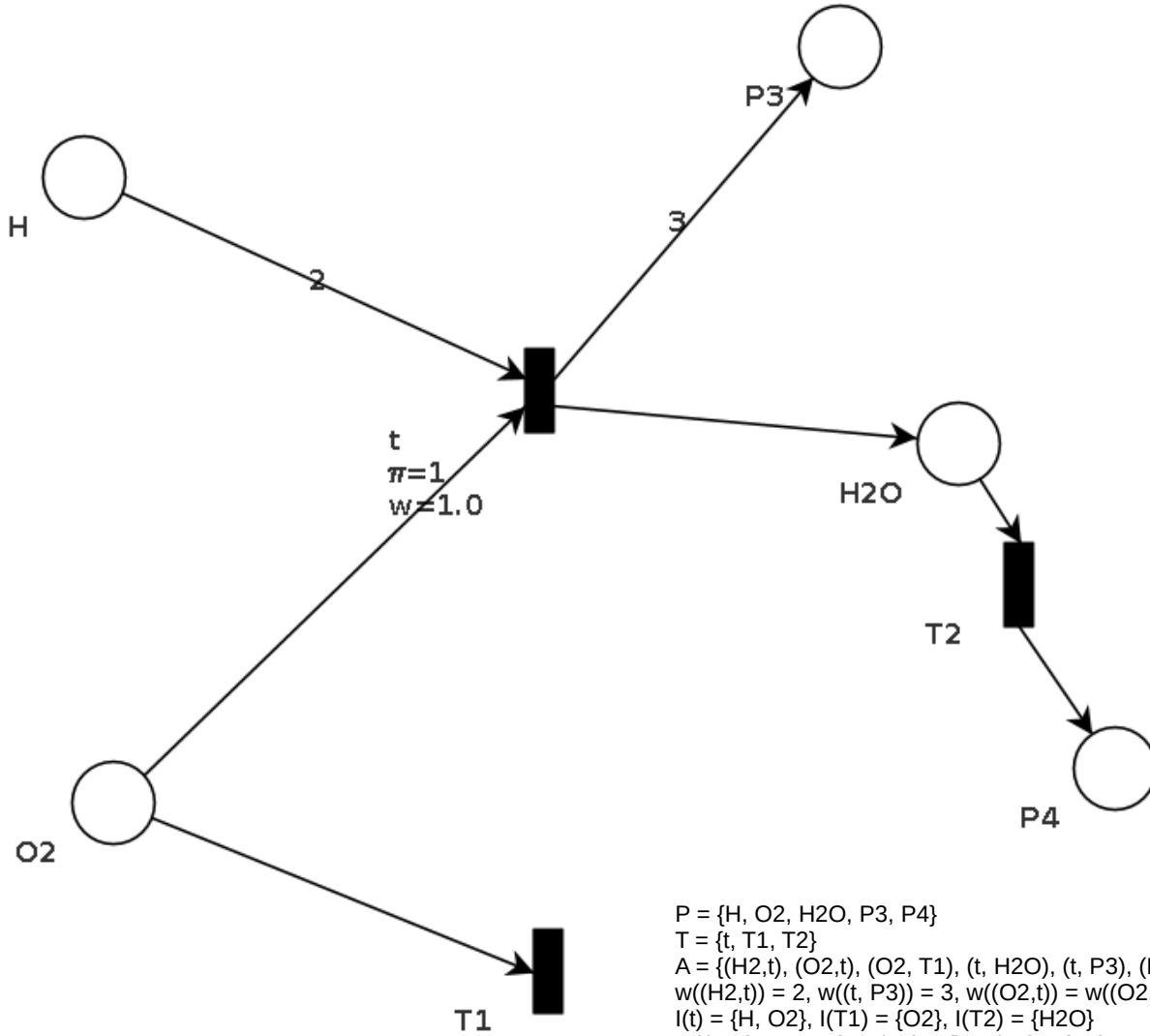
- $P = \{p_1, p_2, \dots\}$ is a finite set of *places*
- $T = \{t_1, t_2, \dots\}$ is a finite set of *transitions*
- $A \subseteq (P \times T) \cup (T \times P)$ is a set of *arcs*
- $w : A \rightarrow \mathbb{N}$ is a *weight function*

Note: no need for *countable* P and T .

Derived Entities

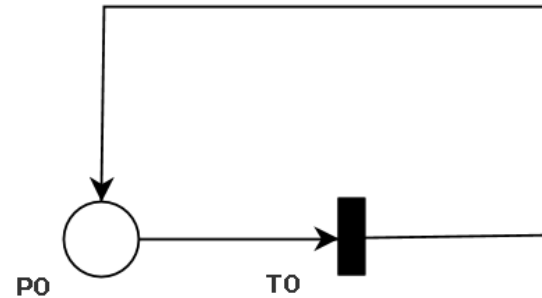
- $I(t_j) = \{p_i : (p_i, t_j) \in A\}$ set of *input places* to transition t_j
(\equiv conditions for transition)
- $O(t_j) = \{p_i : (t_j, p_i) \in A\}$ set of *output places* from transition t_j
(\equiv affected by transition)
- Transitions \equiv events
- similarly: input- and output-transitions for p_i
- graphical/visual representation: *Petri net graph* (multigraph)

Example Petri Net



$P = \{H, O2, H2O, P3, P4\}$
 $T = \{t, T1, T2\}$
 $A = \{(H2,t), (O2,t), (O2, T1), (t, H2O), (t, P3), (H2O, T2), (T2, P4)\}$
 $w((H2,t)) = 2, w((t, P3)) = 3, w((O2,t)) = w((O2, T1)) = w((t, H2O)) = w((H2O, T2)) = w((T2, P4)) = 1$
 $I(t) = \{H, O2\}, I(T1) = \{O2\}, I(T2) = \{H2O\}$
 $O(t) = \{P3, H2O\}, O(T1) = \{\}, O(T2) = \{P4\}$

Pure Petri net



- No self-loops:

$$\nexists p_i \in P, t_j \in T : (p_i, t_j) \in A, (t_j, p_i) \in A$$

- Can convert impure to pure Petri net

Introducing State: Petri net Markings

- Conditions met ? Use *tokens* in places
- Token assignment \equiv *marking* x

$$x : P \rightarrow \mathbb{N}$$

- A marked Petri net

$$(P, T, A, w, x_0)$$

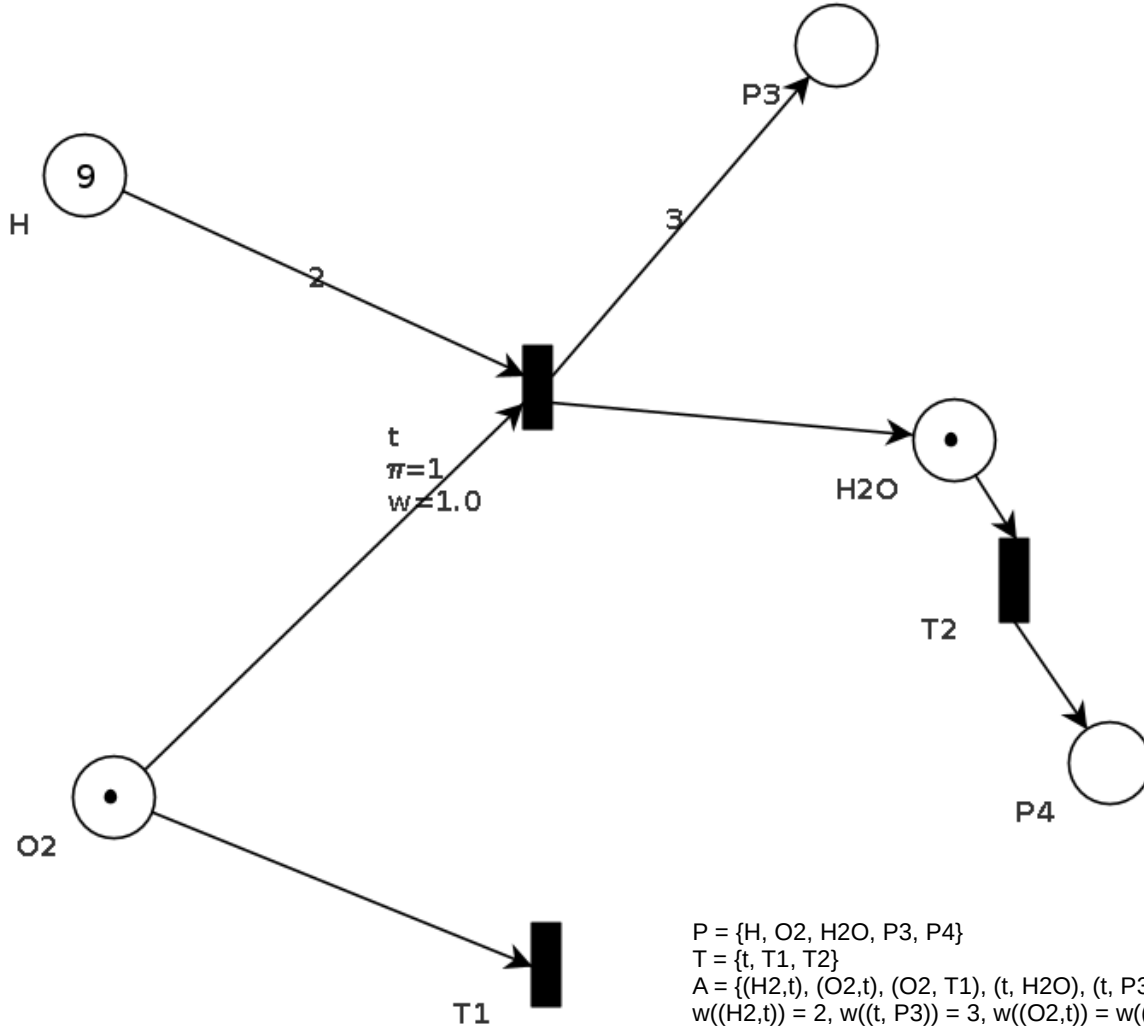
x_0 is the *initial marking*

- The *state* \mathbf{x} of a marked Petri net

$$\mathbf{x} = [x(p_1), x(p_2), \dots, x(p_n)]$$

Number of tokens need not be bounded (cfr. State Automata states).

Example Marked Petri Net



$P = \{H, O2, H2O, P3, P4\}$

$T = \{t, T1, T2\}$

$A = \{(H2,t), (O2,t), (O2, T1), (t, H2O), (t, P3), (H2O, T2), (T2, P4)\}$

$w((H2,t)) = 2, w((t, P3)) = 3, w((O2,t)) = w((O2, T1)) = w((t, H2O)) = w((H2O, T2)) = w((T2, P4)) = 1$

$I(t) = \{H, O2\}, I(T1) = \{O2\}, I(T2) = \{H2O\}$

$O(t) = \{P3, H2O\}, O(T1) = \{\}, O(T2) = \{P4\}$

$x = [9, 1, 1, 0, 0]$ corresponding to places $[H, O2, H2O, P3, P4]$

State Space of Marked Petri net

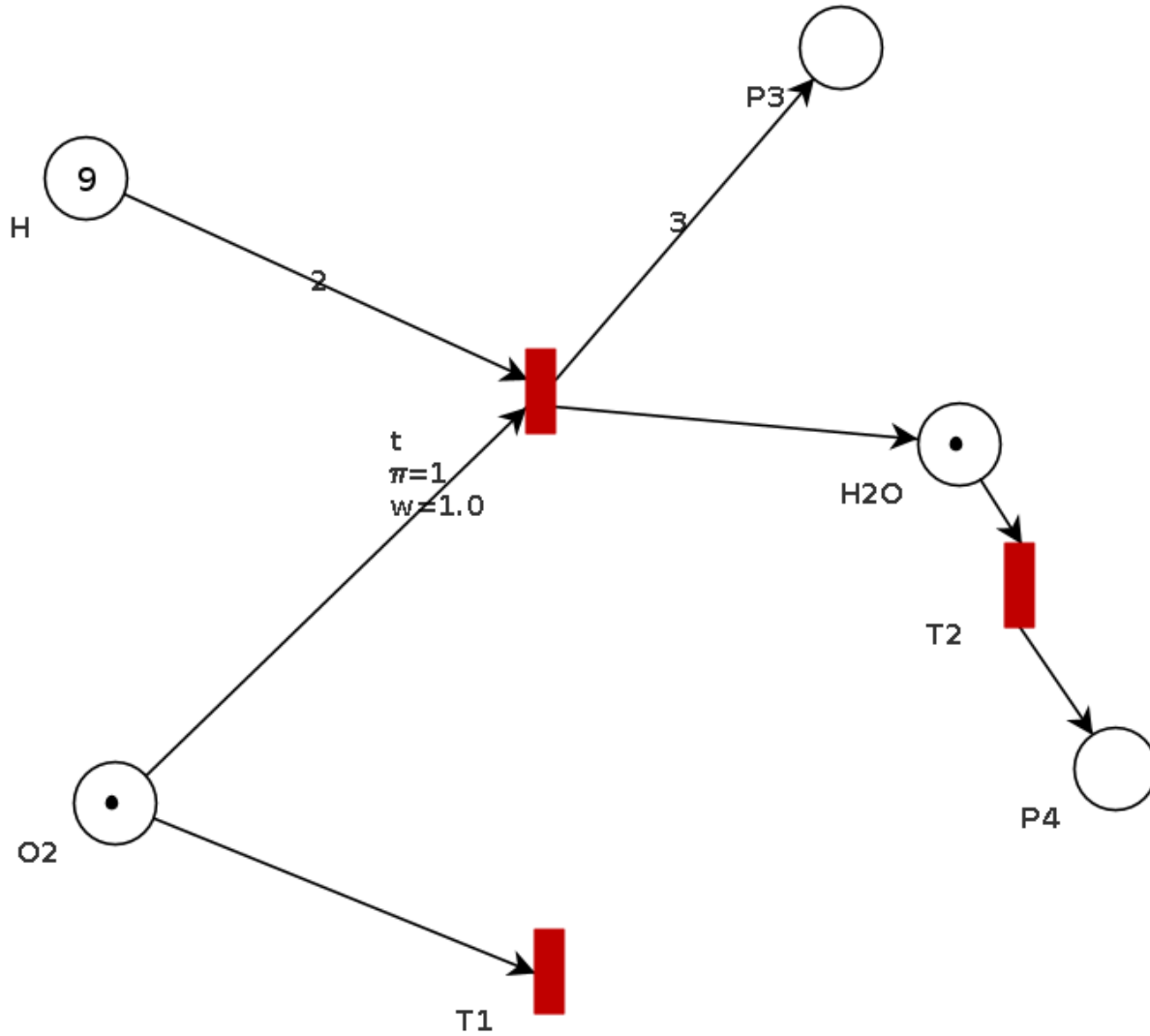
- All n -dimensional vectors of nonnegative integer markings

$$X = \mathbb{N}^n$$

- Transition $t_j \in T$ is *enabled* if

$$x(p_i) \geq w(p_i, t_j), \forall p_i \in I(t_j)$$

Example Marked Petri Net
Enabled transitions in red



Petri Net Dynamics

State Transition Function f of marked Petri net (P, T, A, w, x_0)

$$f : \mathbb{N}^n \times T \rightarrow \mathbb{N}^n$$

is defined for transition $t_j \in T$ if and only if

$$x(p_i) \geq w(p_i, t_j), \forall p_i \in I(t_j)$$

If $f(\mathbf{x}, t_j)$ is defined, set $\mathbf{x}' = f(\mathbf{x}, t_j)$ where

$$x'(p_i) = x(p_i) - w(p_i, t_j) + w(t_j, p_i)$$

- State transition function f based on *structure* of Petri net
- Number of tokens *need not be conserved* (but can)

Algebraic Description of Dynamics

- Firing vector \mathbf{u} : transition j firing

$$\mathbf{u} = [0, 0, \dots, 1, 0, \dots, 0]$$

- Incidence matrix \mathbf{A} :

$$a_{ji} = w(t_j, p_i) - w(p_i, t_j)$$

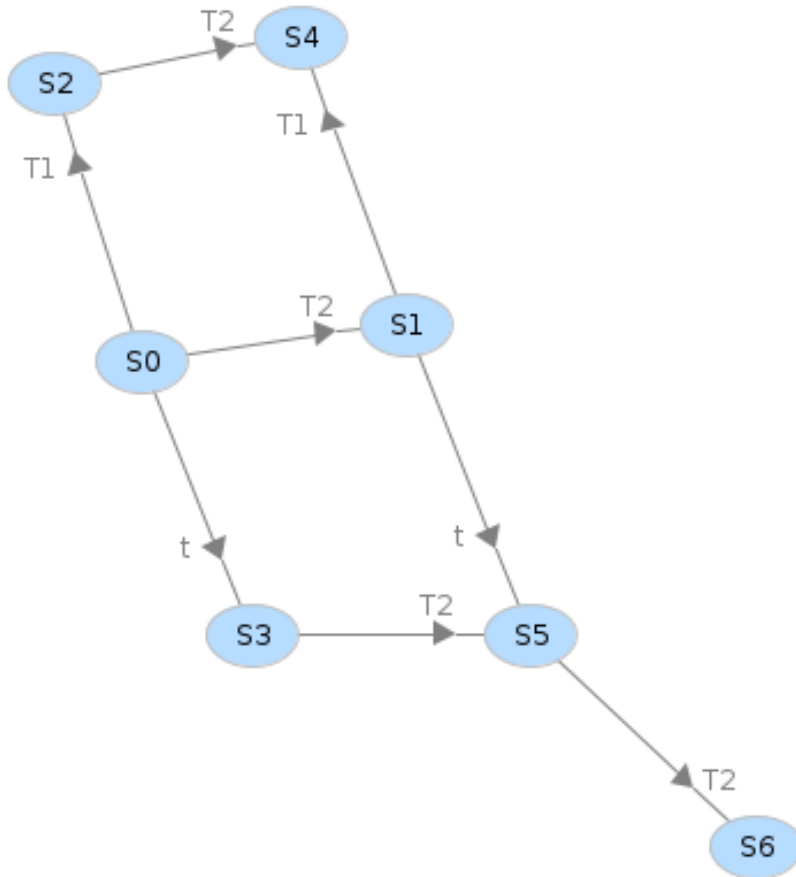
- State Equation

$$\mathbf{x}' = \mathbf{x} + \mathbf{uA}$$

Semantics

- *sequential vs. parallel*
- Handle nondeterminism:
 1. User choice
 2. Priorities
 3. Probabilities (Monte Carlo)
 4. Reachability Graph (enumerate all choices)

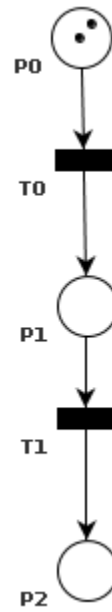
Example Marked Petri Net



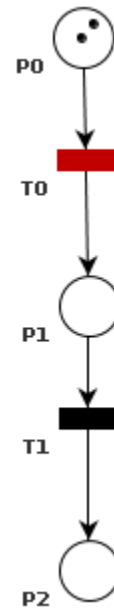
S0 = [9, 1, 1, 0, 0]
S1 = [9, 1, 0, 0, 1]
S2 = [9, 0, 1, 0, 0]
S3 = [7, 0, 2, 3, 0]
S4 = [9, 0, 0, 0, 1]
S5 = [7, 0, 1, 3, 1]
S6 = [7, 0, 0, 3, 2]

Marking corresponds to [H, O2, H2O, P3, P4]

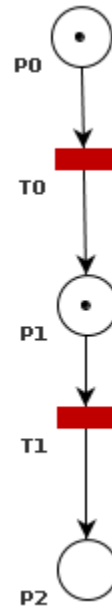
Pattern: sequence



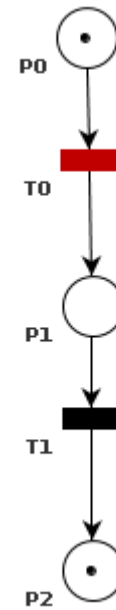
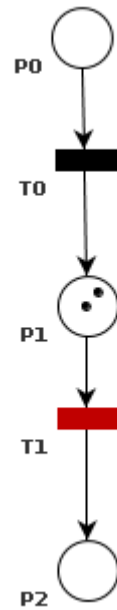
Pattern: sequence



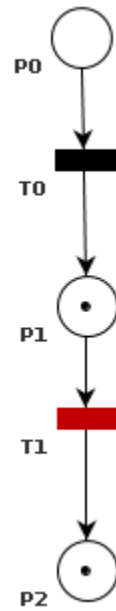
Pattern: sequence



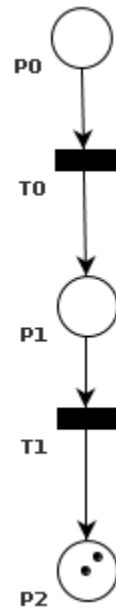
Pattern: sequence



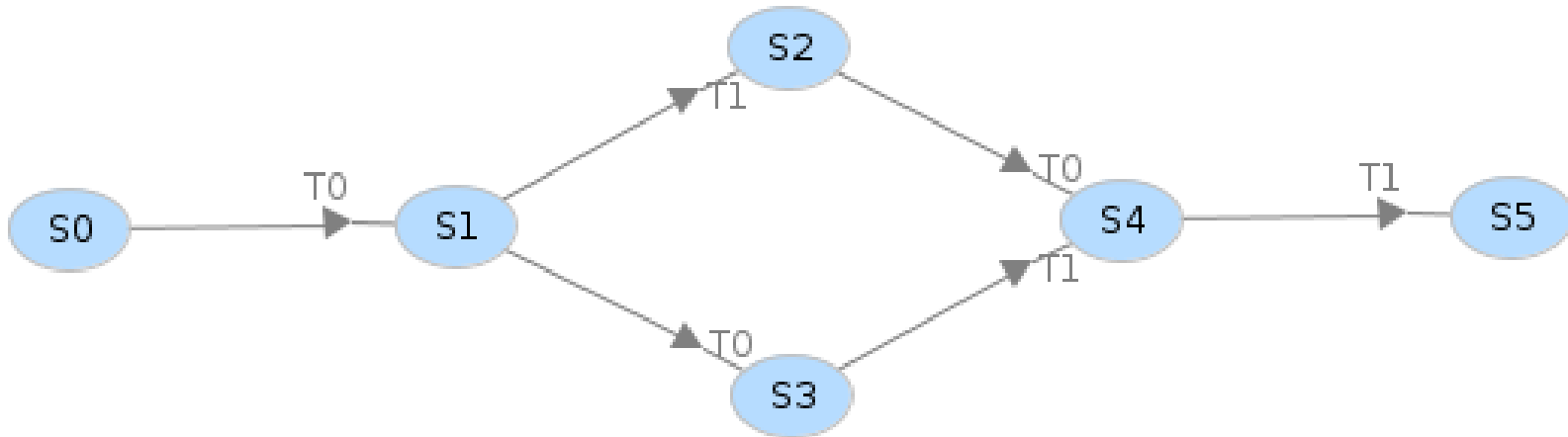
Pattern: sequence



Pattern: sequence



Pattern: sequence



$S0 = [2, 0, 0]$

$S1 = [1, 1, 0]$

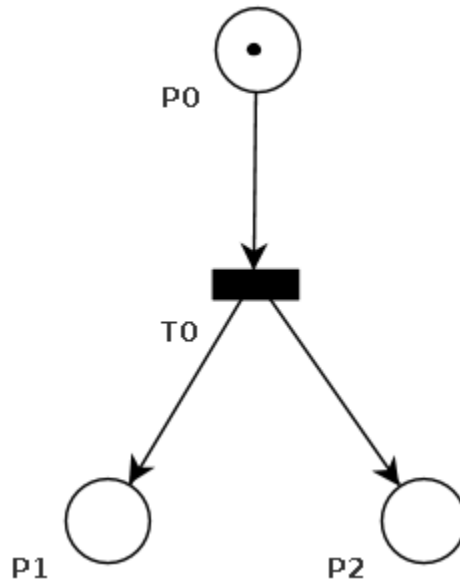
$S2 = [1, 0, 1]$

$S3 = [0, 2, 0]$

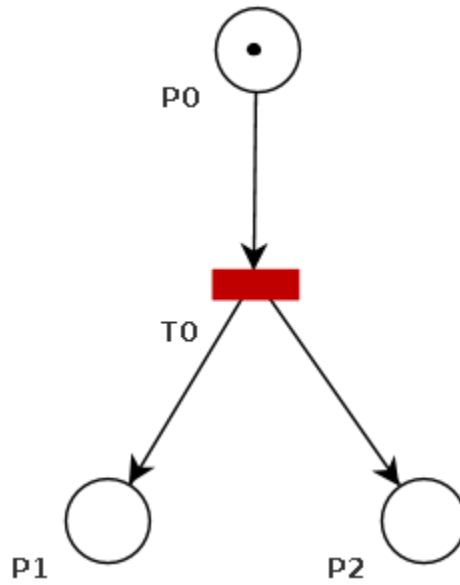
$S4 = [0, 1, 1]$

$S5 = [0, 0, 2]$

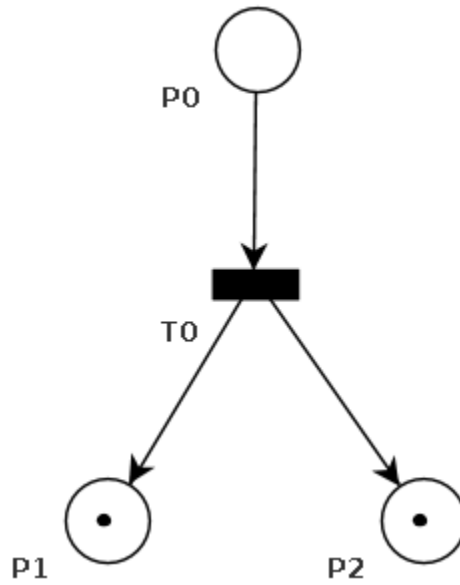
Pattern: split



Pattern: split



Pattern: split



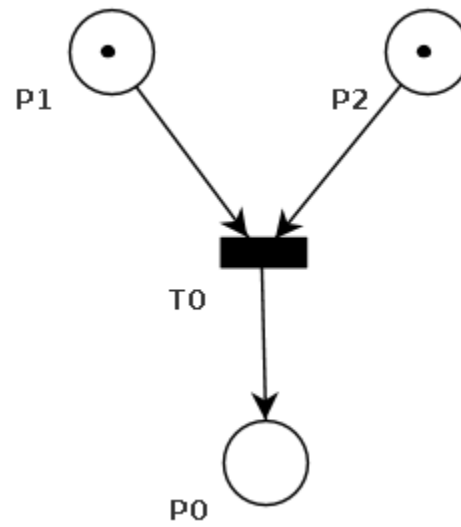
Pattern: split



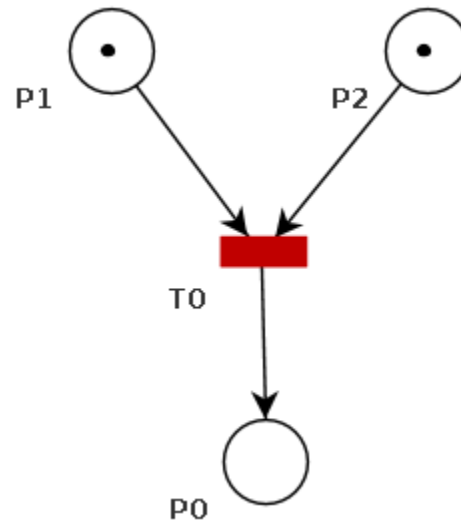
$$S0 = [1, 0, 0]$$

$$S1 = [0, 1, 1]$$

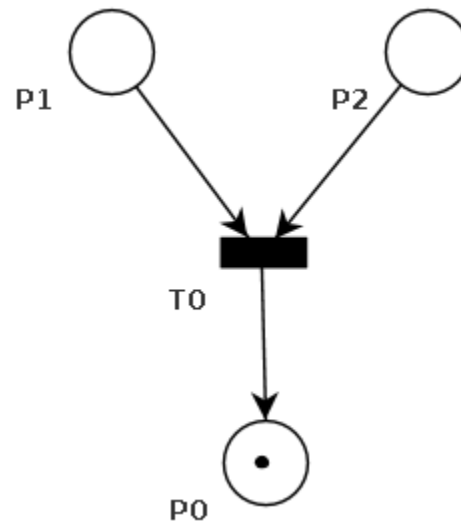
Pattern: join



Pattern: join



Pattern: join



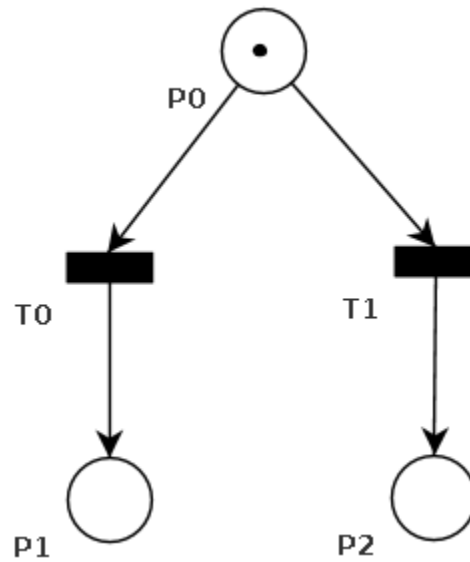
Pattern: join



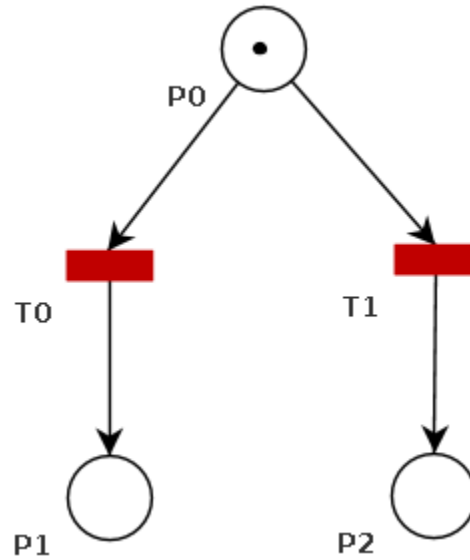
$$S0 = [1, 1, 0]$$

$$S1 = [0, 0, 1]$$

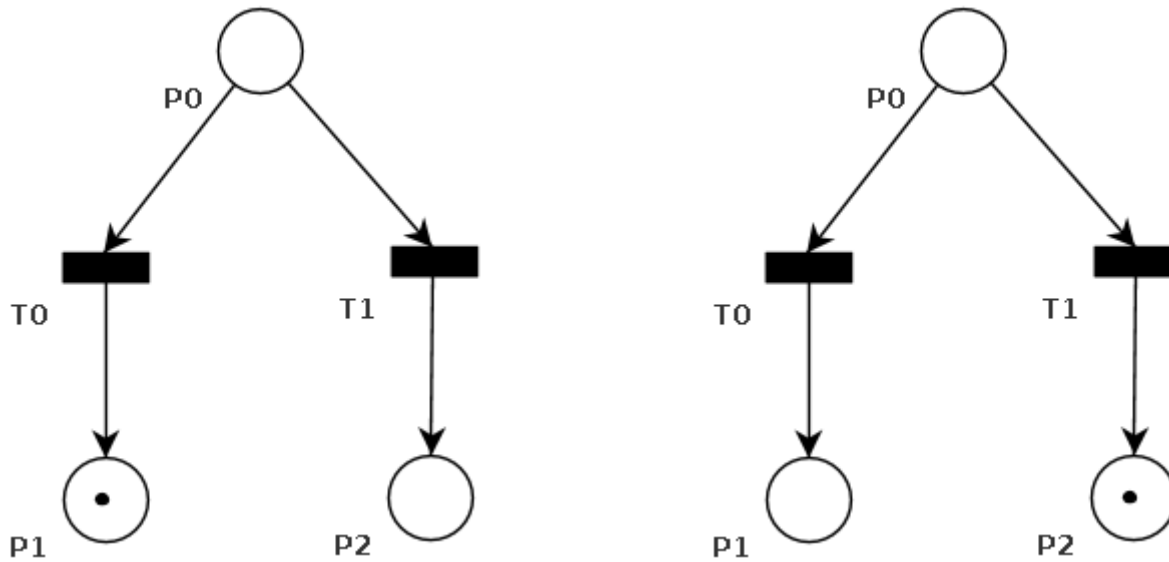
Pattern: conflict, choice, decision



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Pattern: conflict, choice, decision



Pattern: conflict, choice, decision

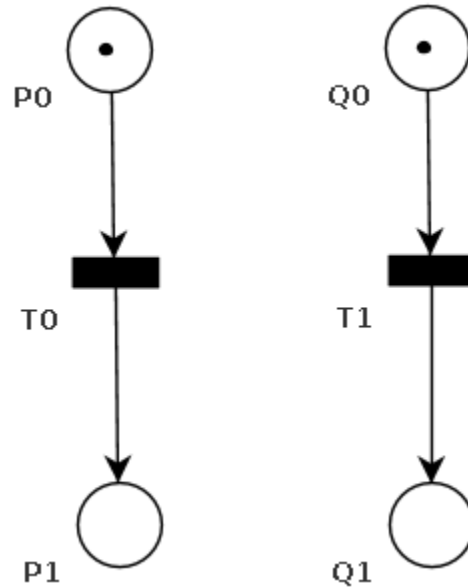


$$S0 = [1, 0, 0]$$

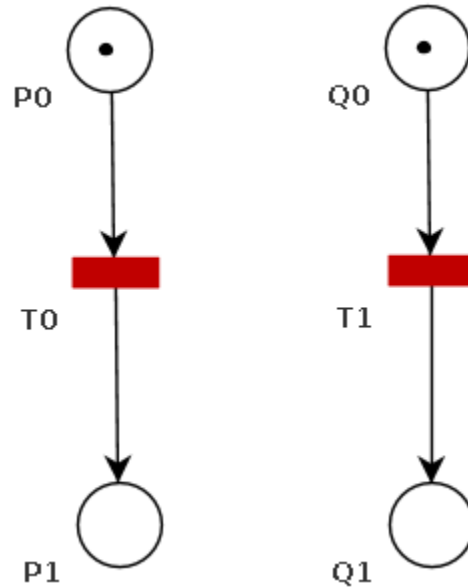
$$S1 = [0, 0, 1]$$

$$S2 = [0, 1, 0]$$

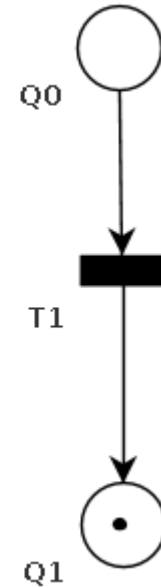
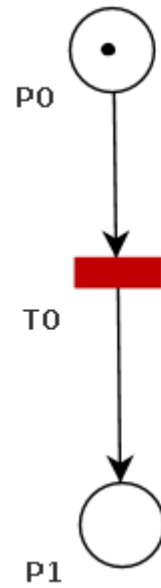
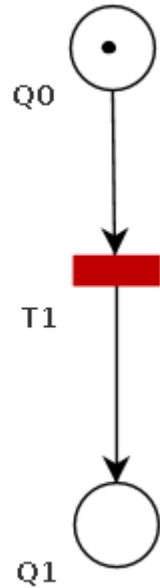
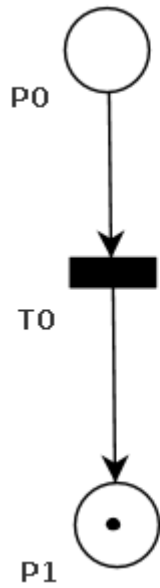
parallel independence, confluence



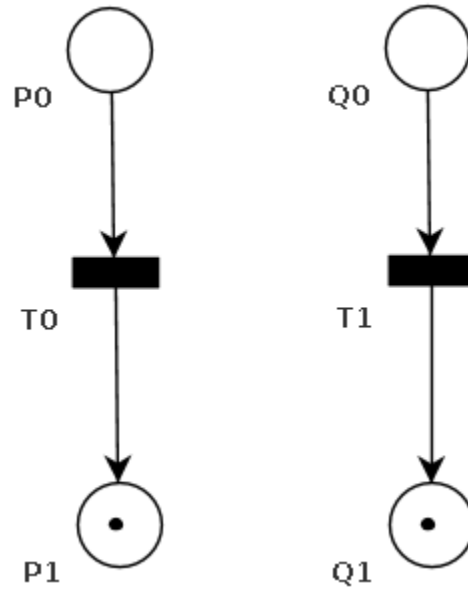
parallel independence, confluence



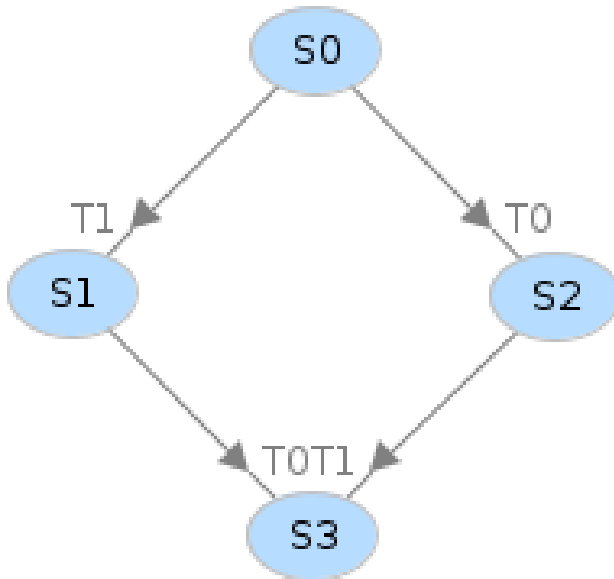
parallel independence, confluence



parallel independence, confluence

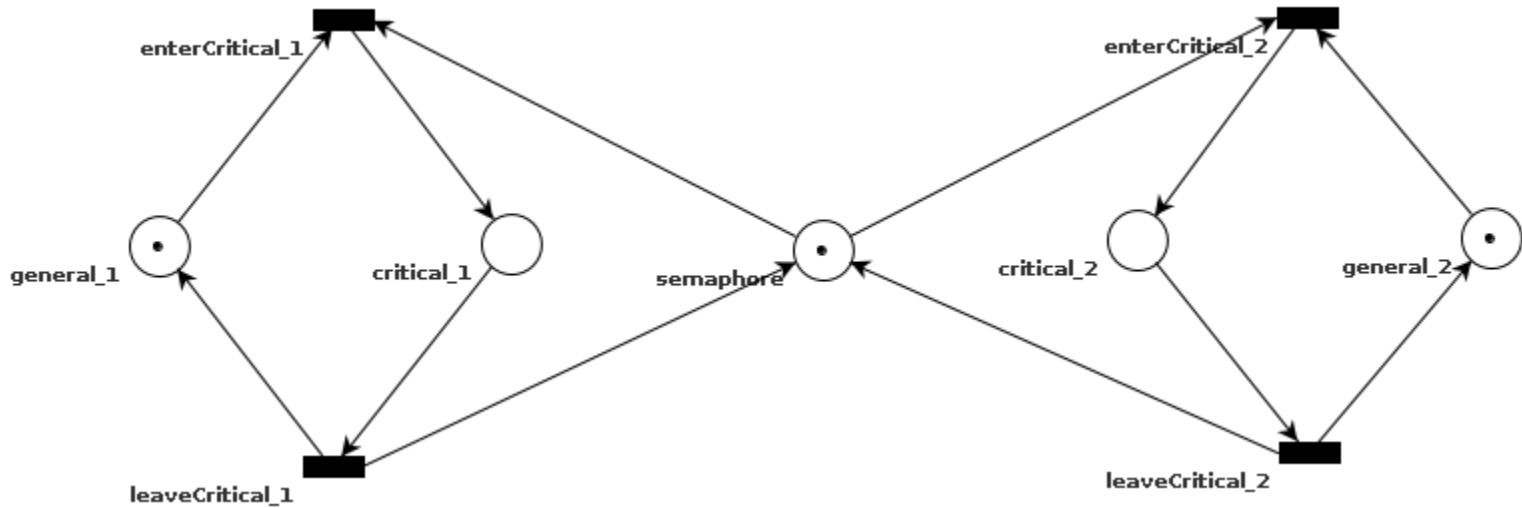


parallel independence, confluence
“diamond” pattern

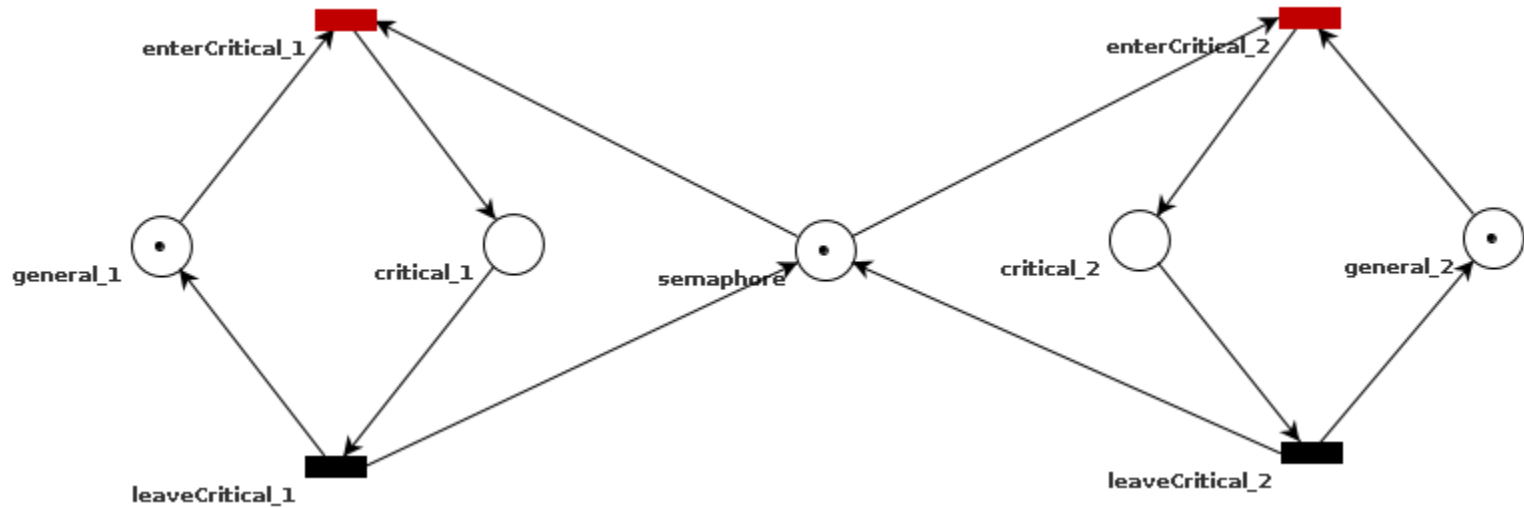


$S0 = [1, 0, 1, 0]$
 $S1 = [0, 1, 1, 0]$
 $S2 = [1, 0, 0, 1]$
 $S3 = [0, 1, 0, 1]$

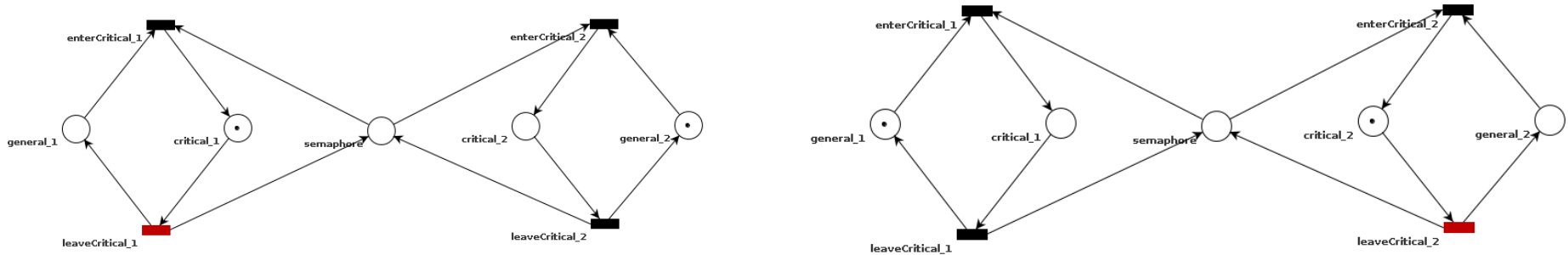
critical section, semaphore, mutex



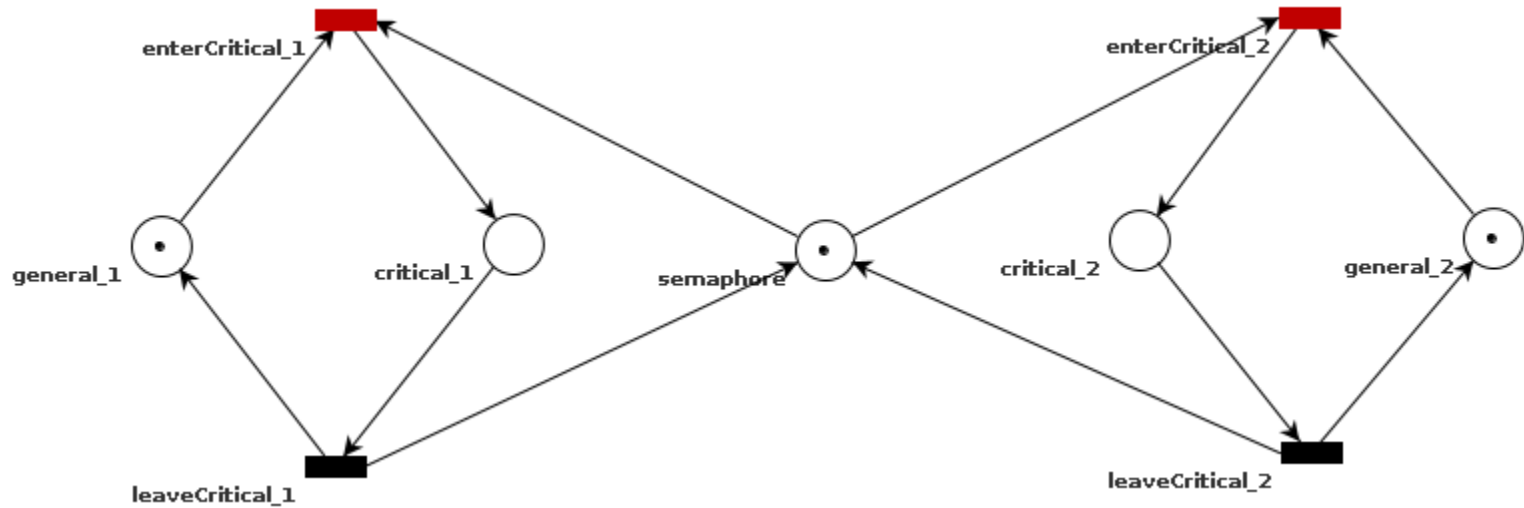
critical section, semaphore, mutex



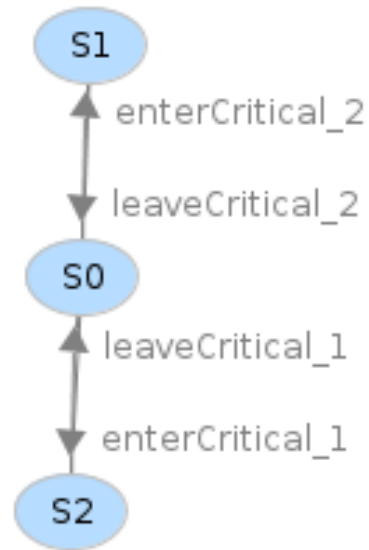
critical section, semaphore, mutex



critical section, semaphore, mutex

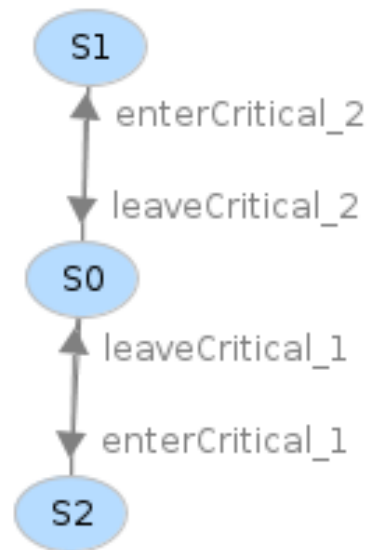


critical section, semaphore, mutex



$S0 = [1, 0, 1, 0, 1]$
 $S1 = [1, 0, 0, 1, 0]$
 $S2 = [0, 1, 0, 0, 1]$

critical section, semaphore, mutex



$S0 = [1, 0, 1, 0, 1]$

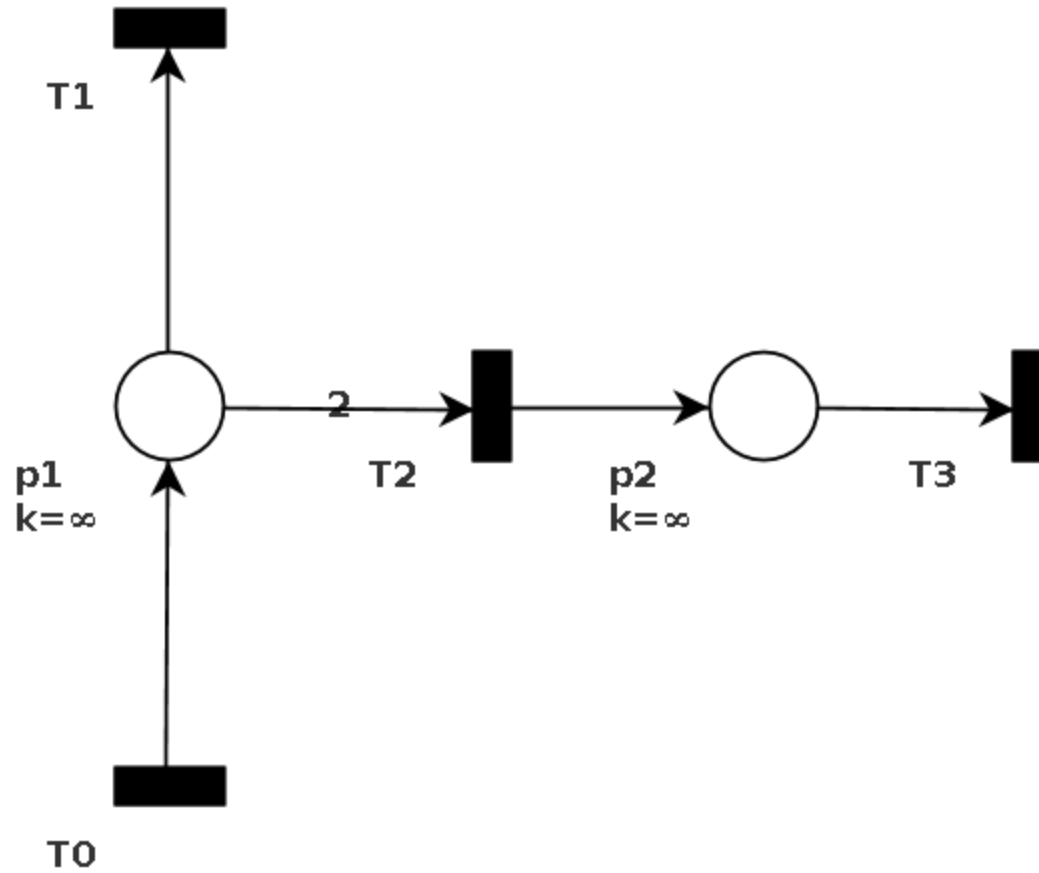
$S1 = [1, 0, 0, 1, 0]$

$S2 = [0, 1, 0, 0, 1]$

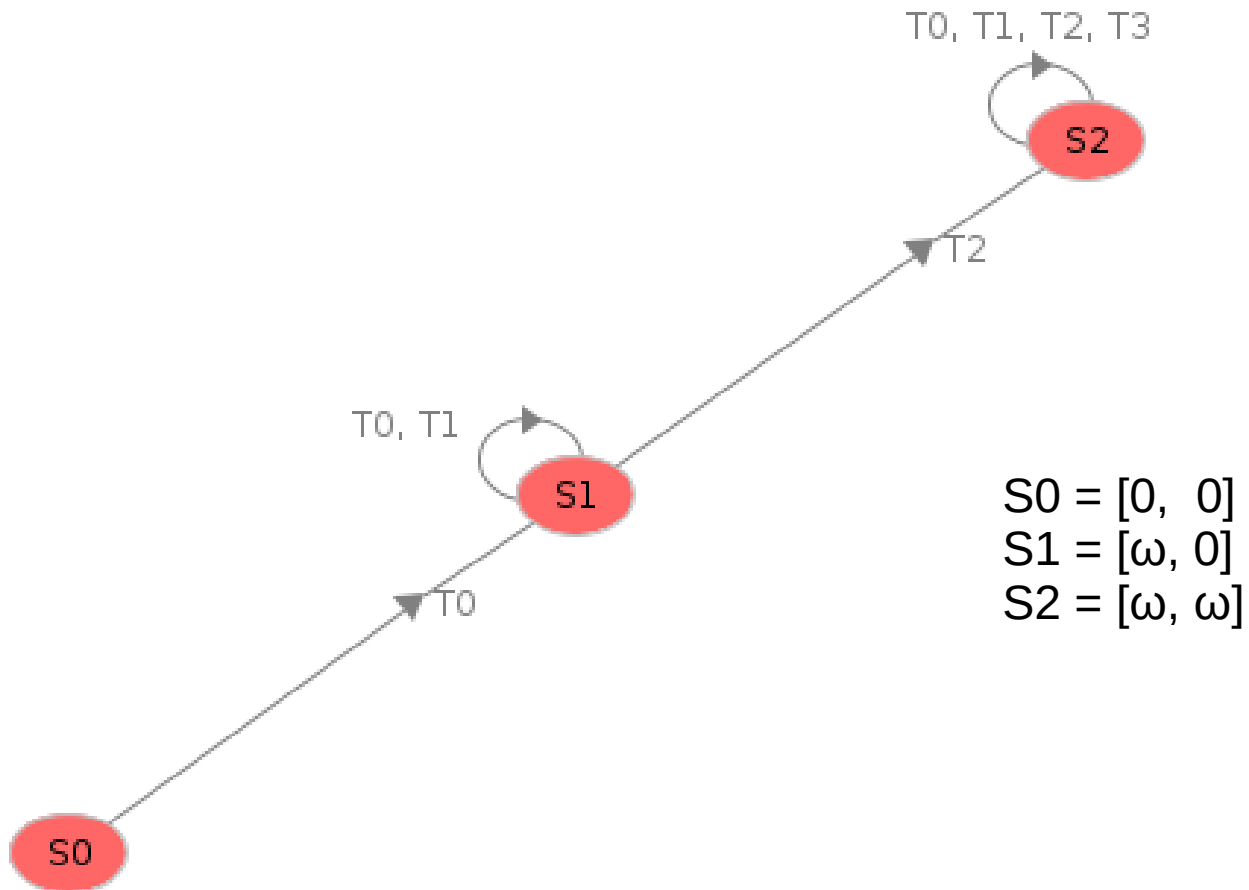
$[*, 1, *, 1, *]$

reachable in some path?

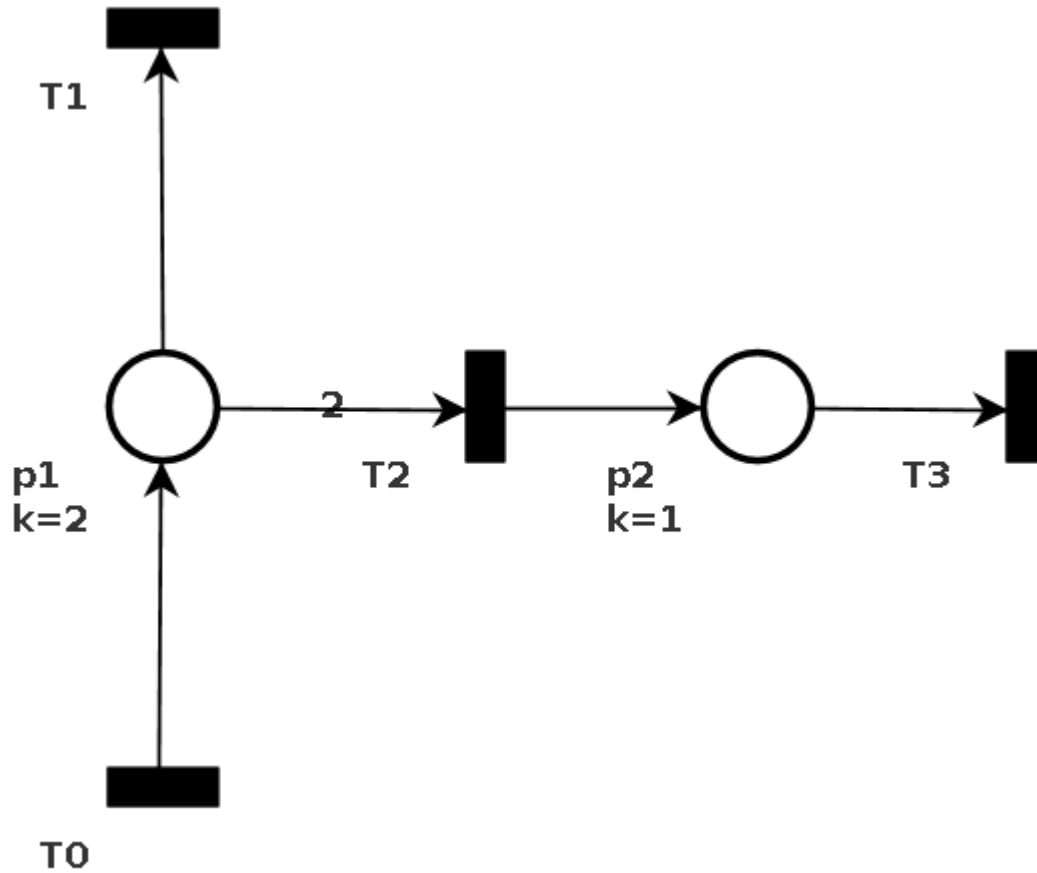
Infinite Capacity Petri net



Infinite Capacity Petri net

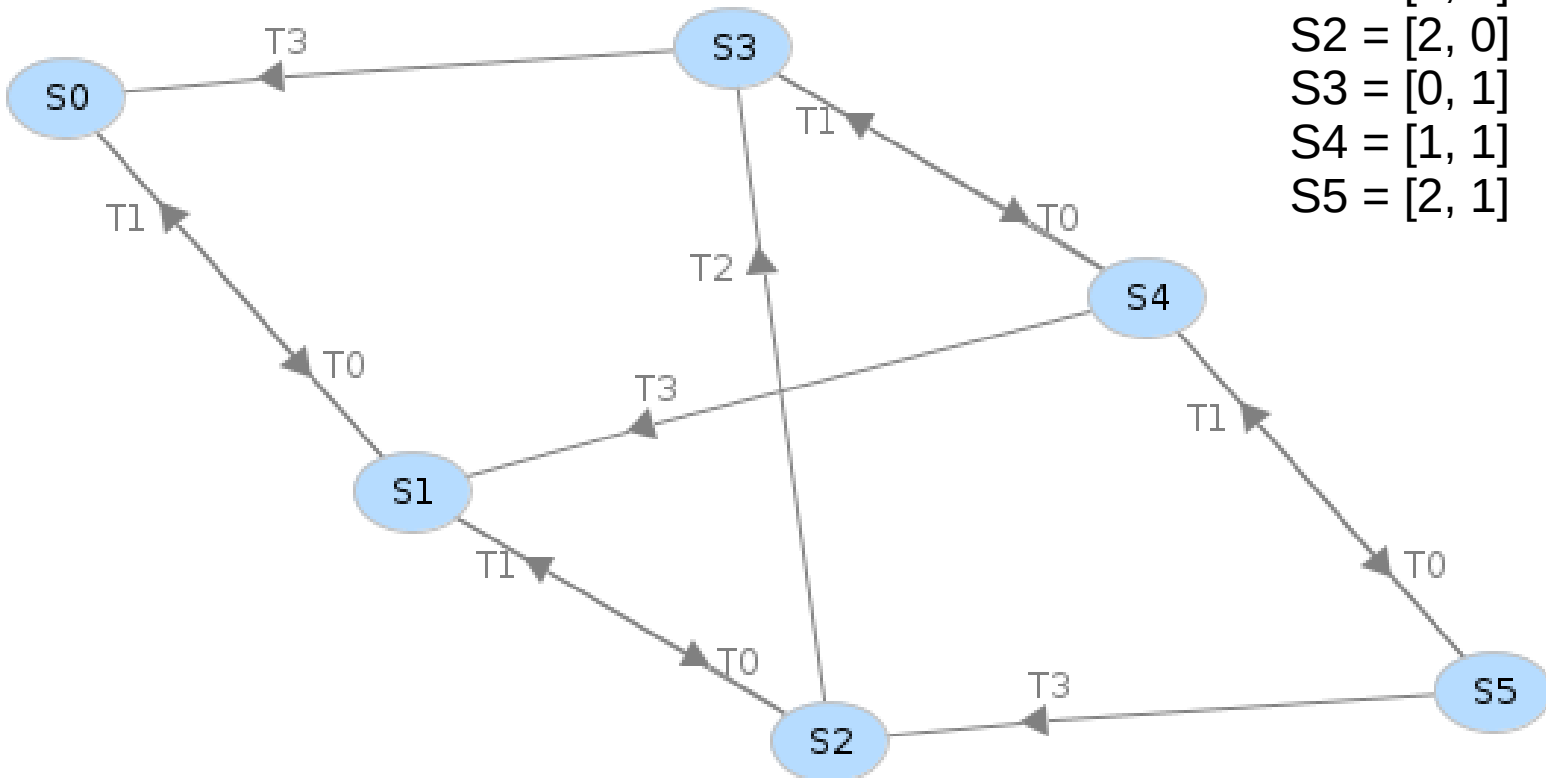


Finite Capacity Petri net (FCPN)



Finite Capacity Petri net (FCPN)

$S0 = [0, 0]$
 $S1 = [1, 0]$
 $S2 = [2, 0]$
 $S3 = [0, 1]$
 $S4 = [1, 1]$
 $S5 = [2, 1]$

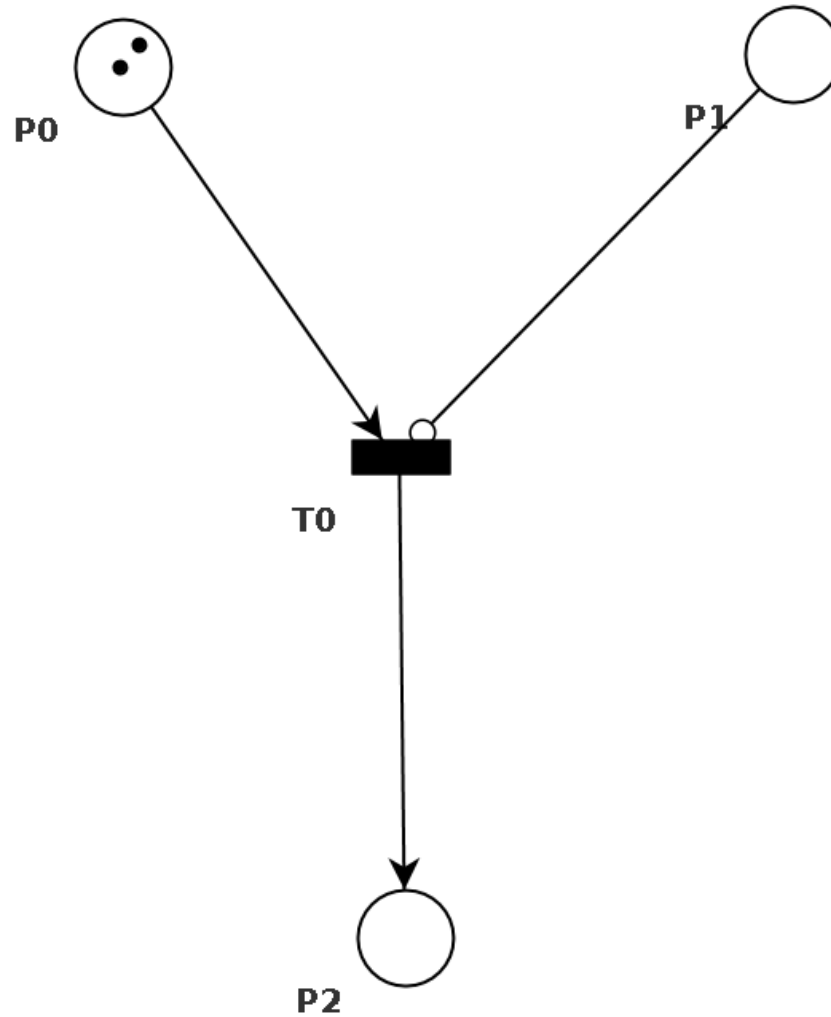


Finite Capacity Petri net as Infinite Capacity net

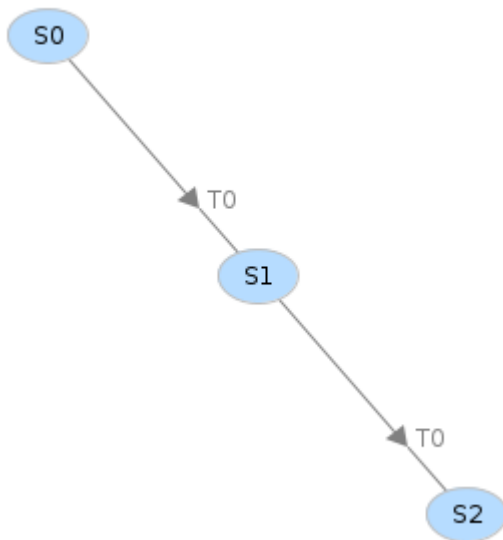
Can transform to infinite capacity net

1. Add complimentary place p' with initial marking $x_0(p') = K(p)$
2. Between each transition t and complimentary places p'
 - add arcs (t, p') or (p', t) where
 - $w(t, p') = w(p, t)$
 - $w(p', t) = w(t, p)$

P/T PN with Inhibitor Arc (makes Turing equiv.)



P/T PN with Inhibitor Arc (makes Turing equiv.)



$$S0 = [2, 0, 0]$$

$$S1 = [1, 0, 1]$$

$$S2 = [0, 0, 2]$$

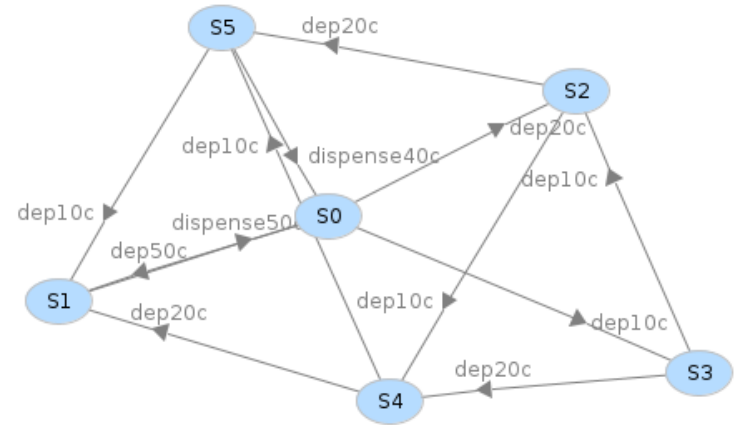
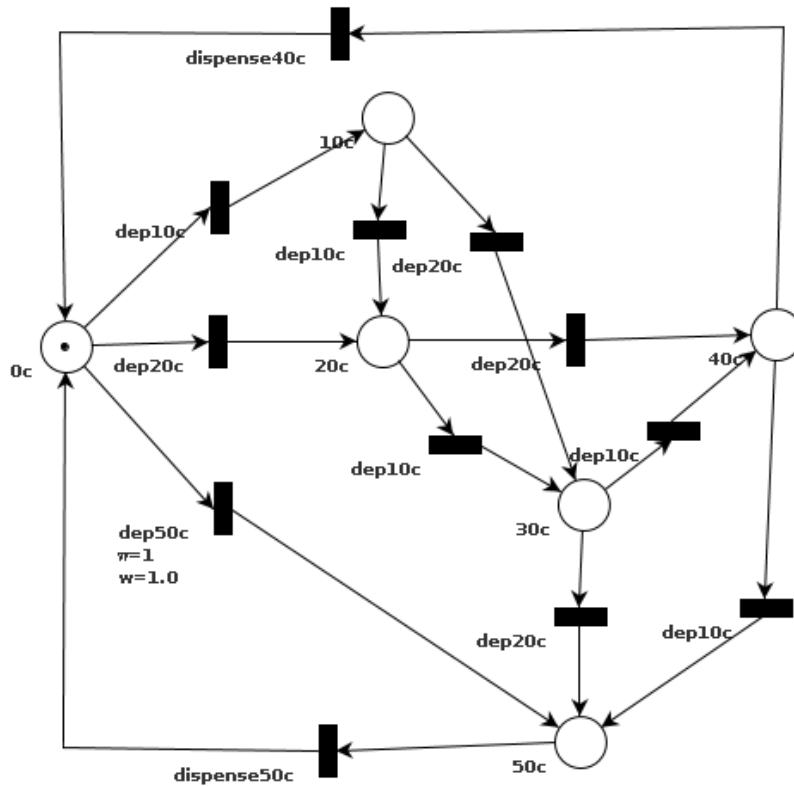
P/T PN with Inhibitor Arc (finite capacity)

Representing a Petri net as a State Machine

Construct Reachability Graph

- Reachability Graph is State Machine
- States are tuples (p_1, p_2, \dots, p_n)
- Events correspond to t_i firing
- May be infinite (ω)

Finite State Automaton represented as a Petri Net



$$S0 = [1, 0, 0, 0, 0, 0]$$

$$S1 = [0, 0, 0, 0, 0, 1]$$

$$S2 = [0, 0, 1, 0, 0, 0]$$

$$S3 = [0, 1, 0, 0, 0, 0]$$

$$S4 = [0, 0, 0, 1, 0, 0]$$

$$S5 = [0, 0, 0, 0, 1, 0]$$

$$[0c, 10c, 20c, 30c, 40c, 50c]$$

modelling the "current state" → single token

Representing a State Machine as a Petri net

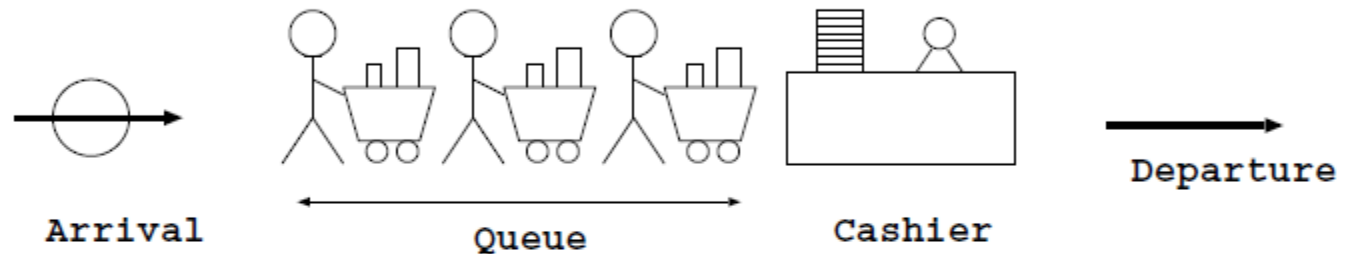
1. no output
2. with output

⇒ automatic (though inefficient) transformation

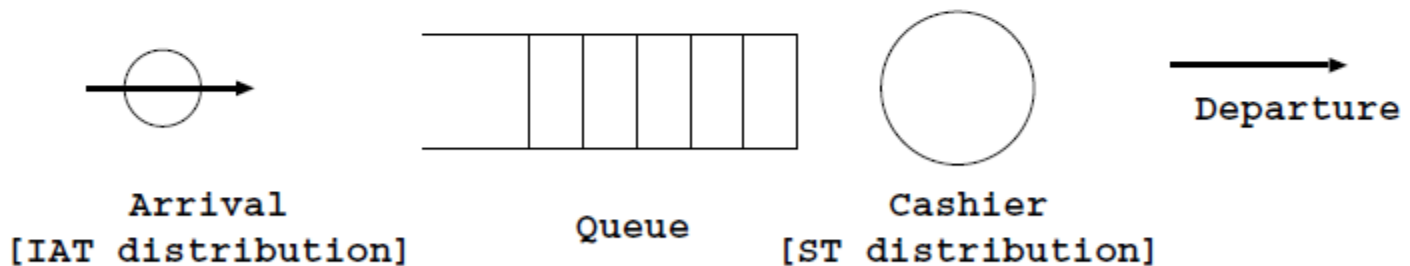
FSA without output

FSA with output

Petri net models for Queueing Systems



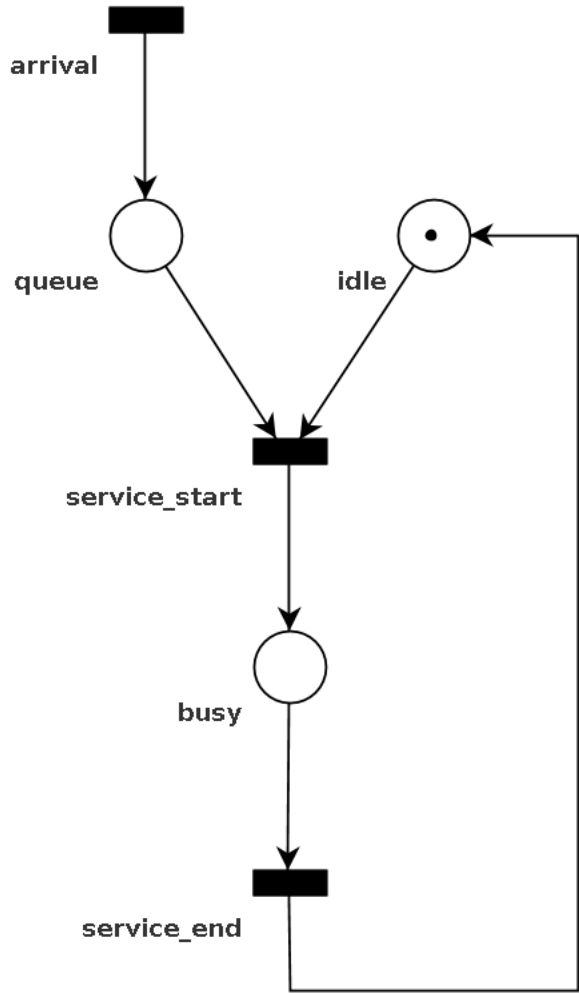
Physical View



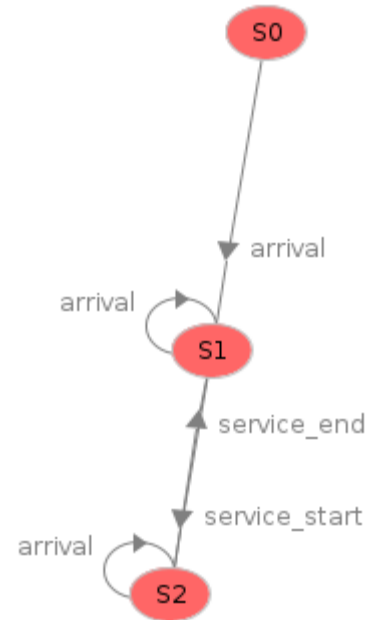
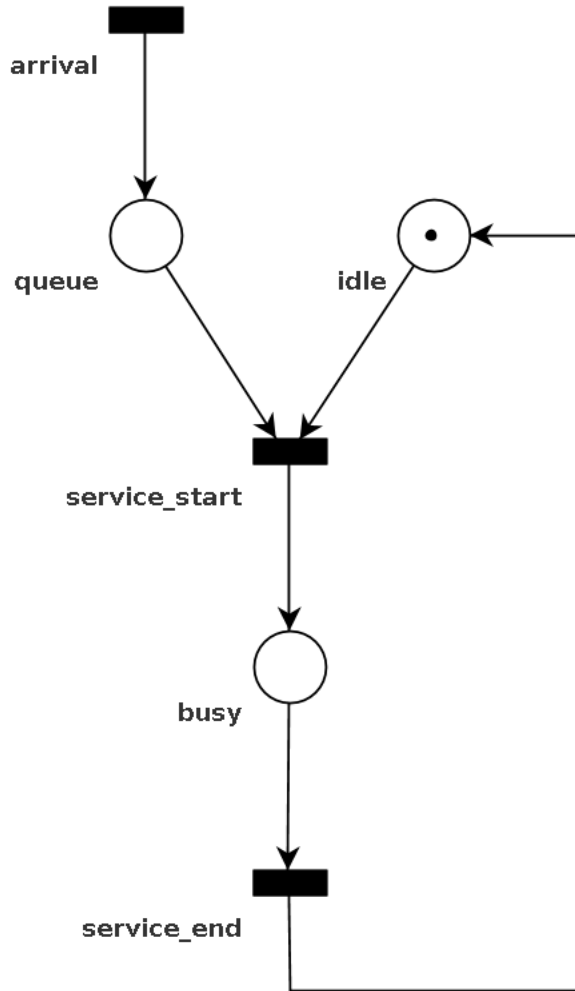
Abstract View

Capacity Constraints for Resource Conservation

Simple Server/Queue



Simple Server/Queue



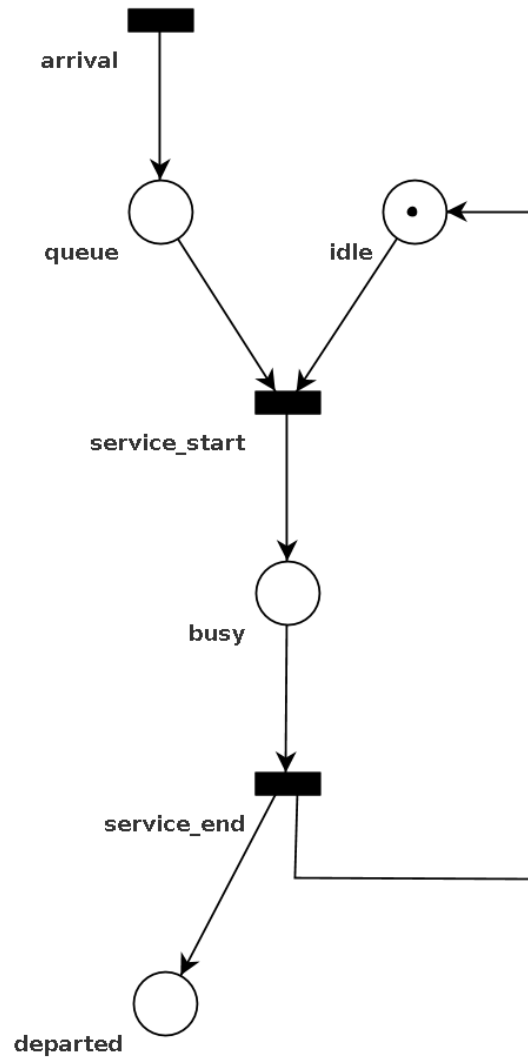
$$S0 = [0, 1, 0]$$

$$S1 = [\omega, 1, 0]$$

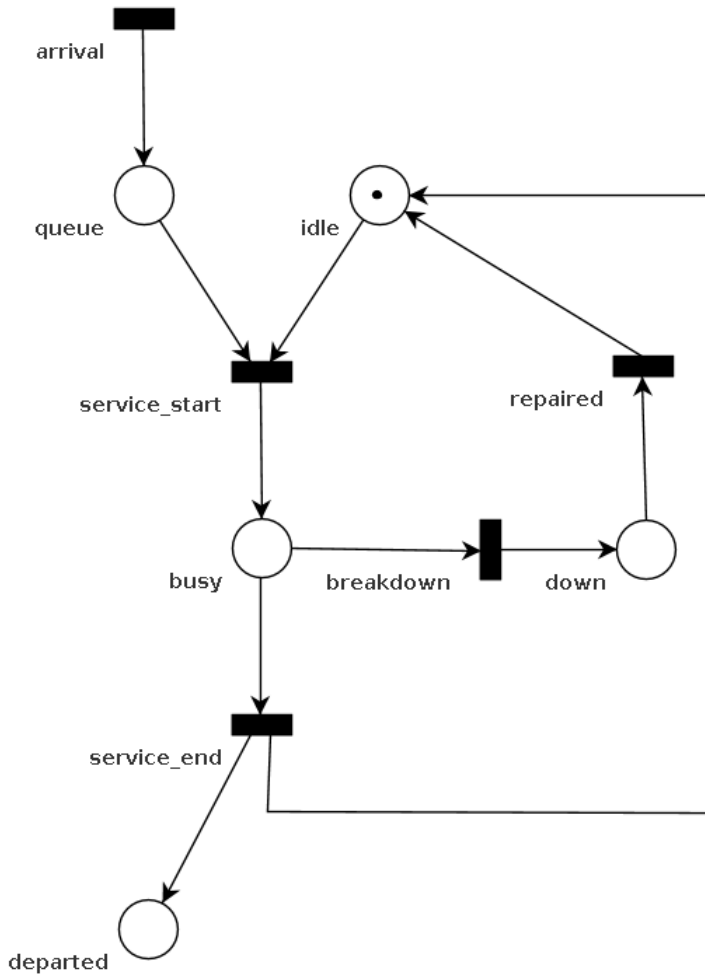
$$S2 = [\omega, 0, 1]$$

[queue, idle, busy]

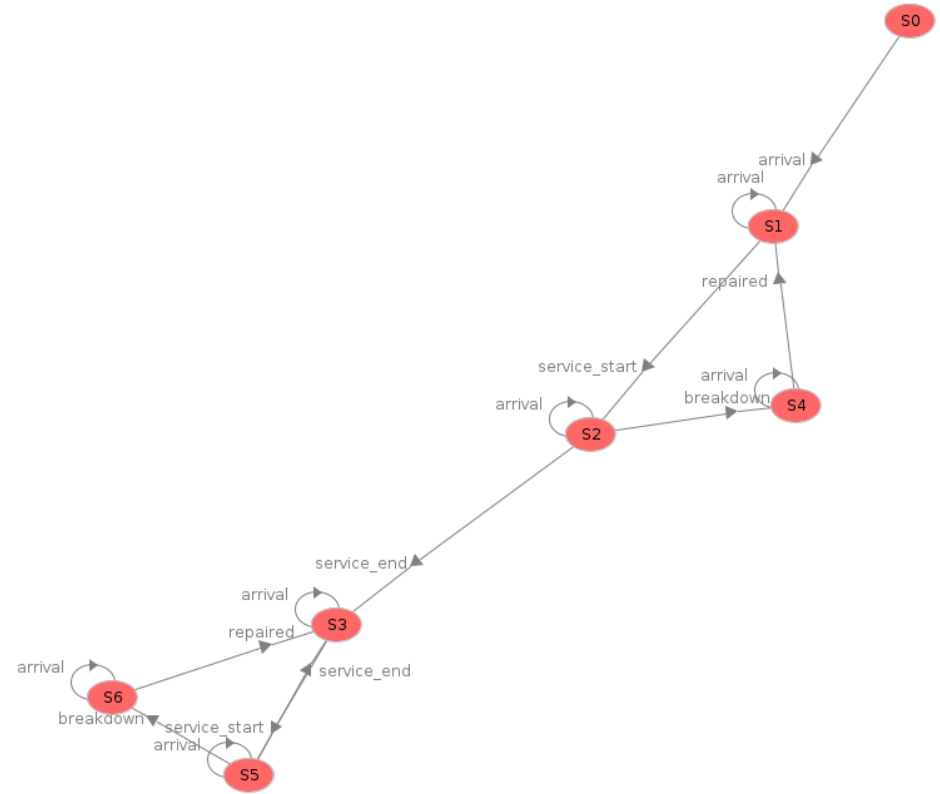
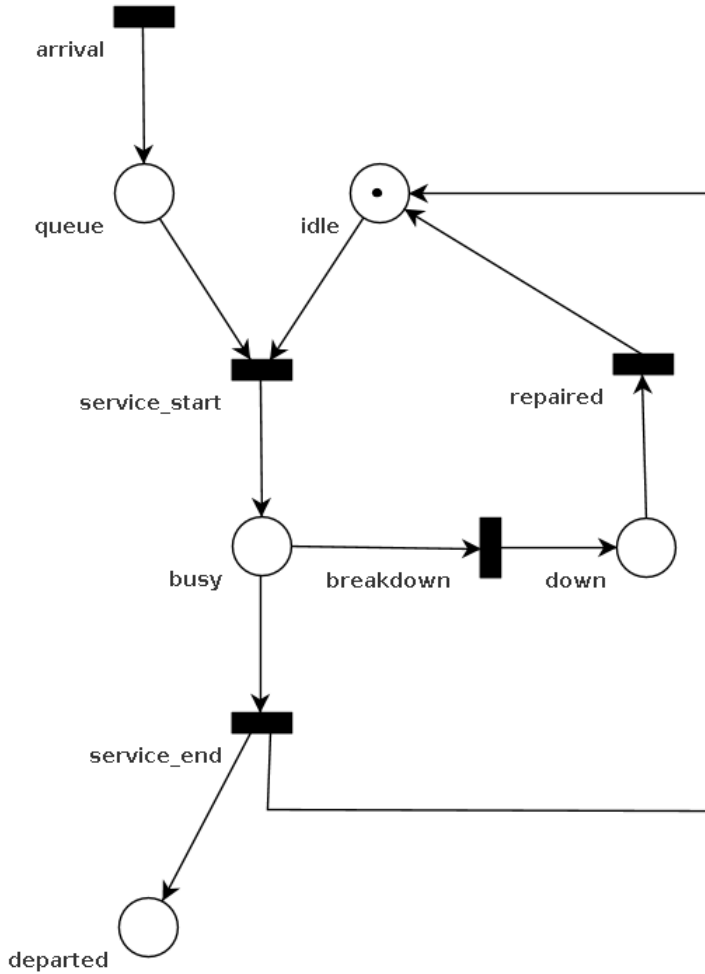
Simple Server/Queue departure modelled explicitly



Simple Server/Queue with server breakdown (and repair)



Simple Server/Queue with server breakdown (and repair)



Modular Composition: Communication Protocol

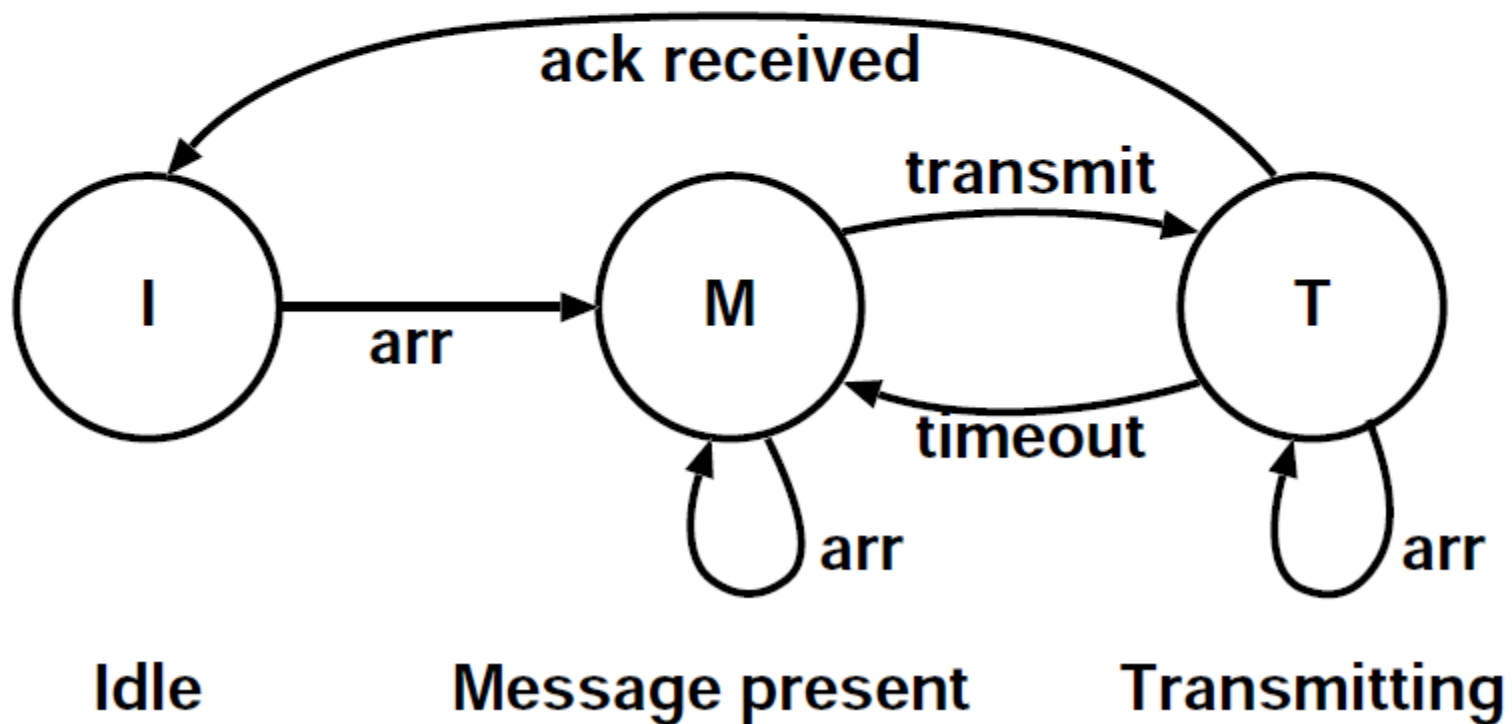
Build incrementally:

1. Single transmitter: FSA vs. Petri net
2. Two transmitters competing for channel

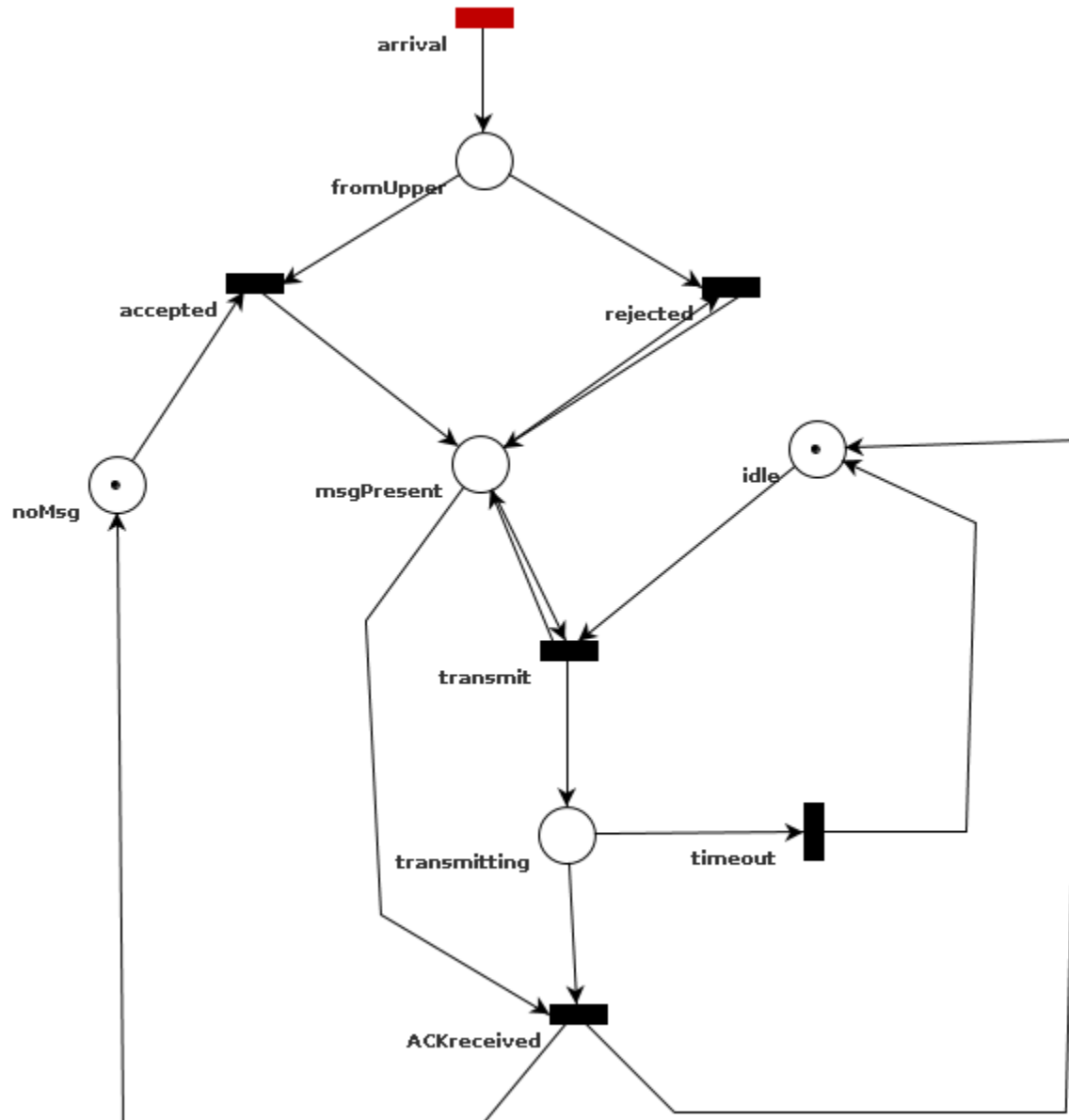
Pros/Cons of Petri net models (depends on goals !):

- Petri net is more complex than FSA for single transmitter
- More insight
- Incremental modelling
- Modular modelling
- Intuitive modelling of concurrency

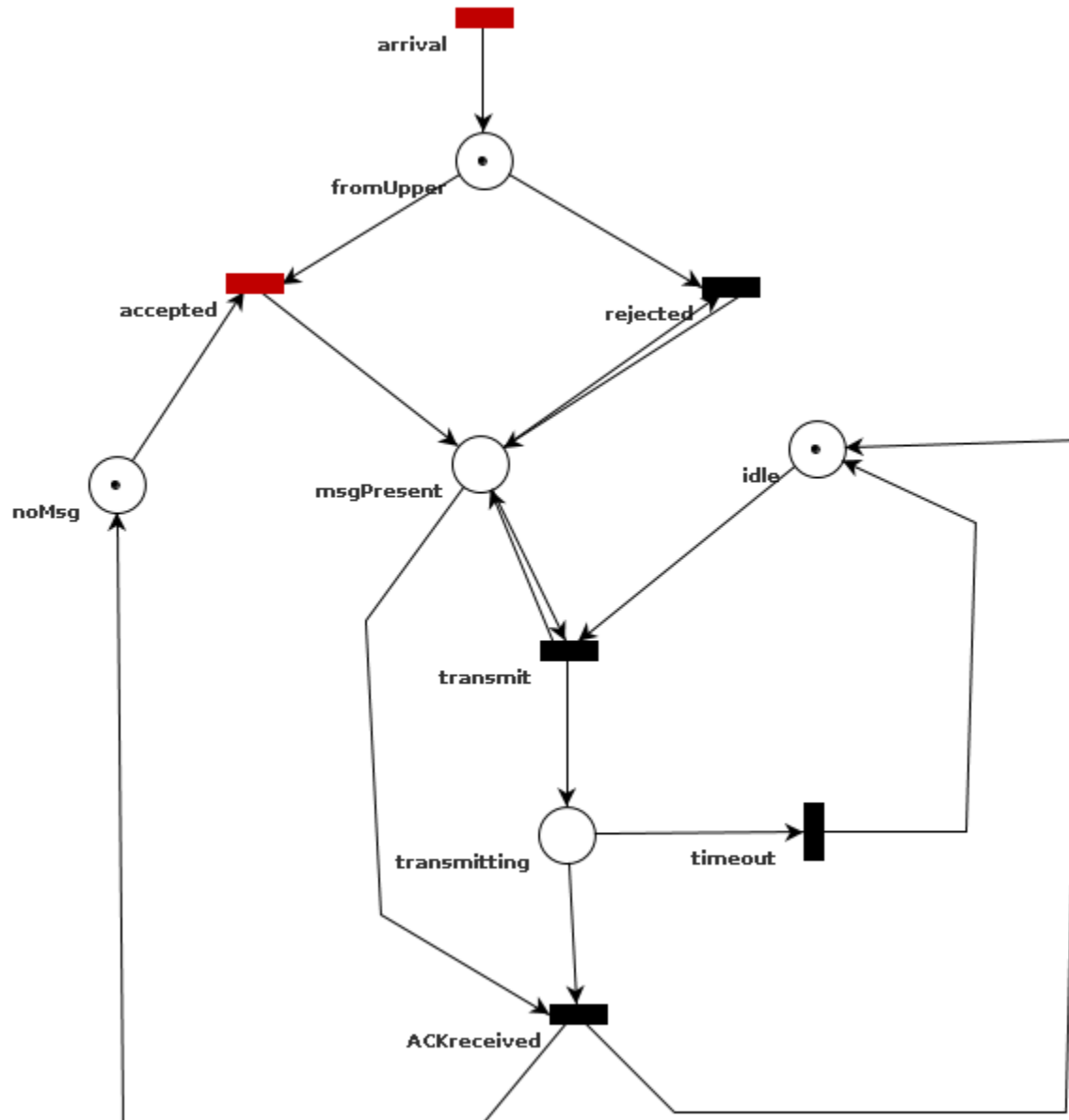
Single Transmitter FSA



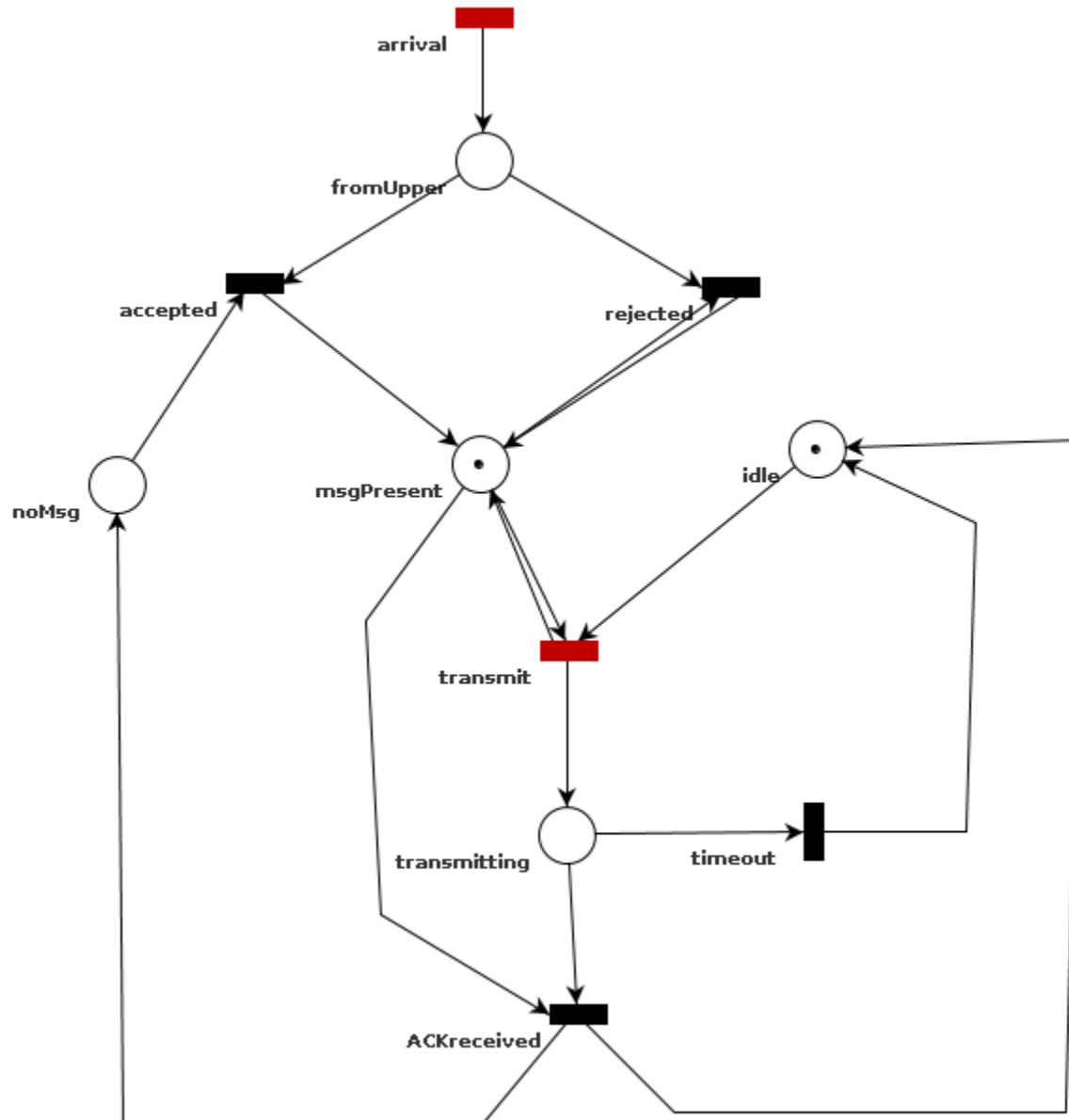
Single transmitter



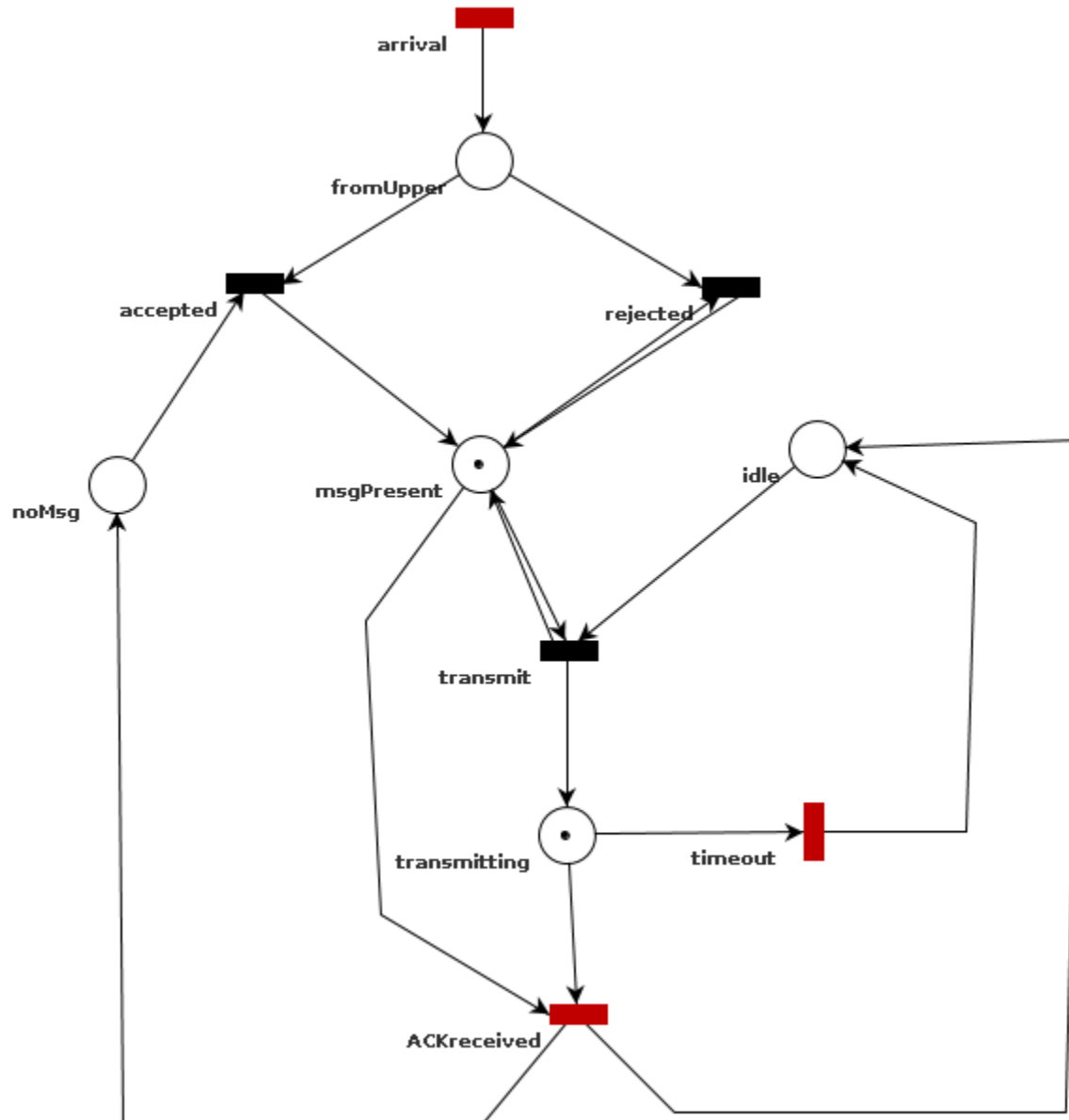
Single transmitter



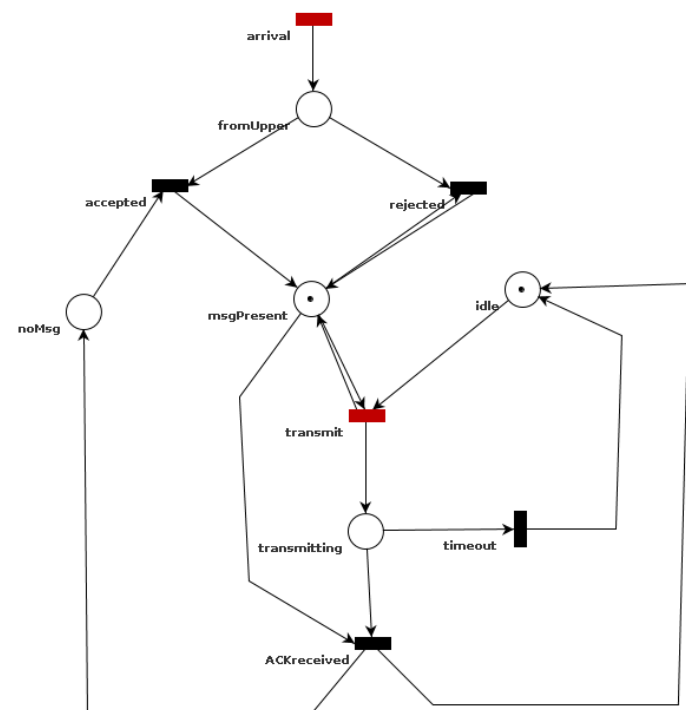
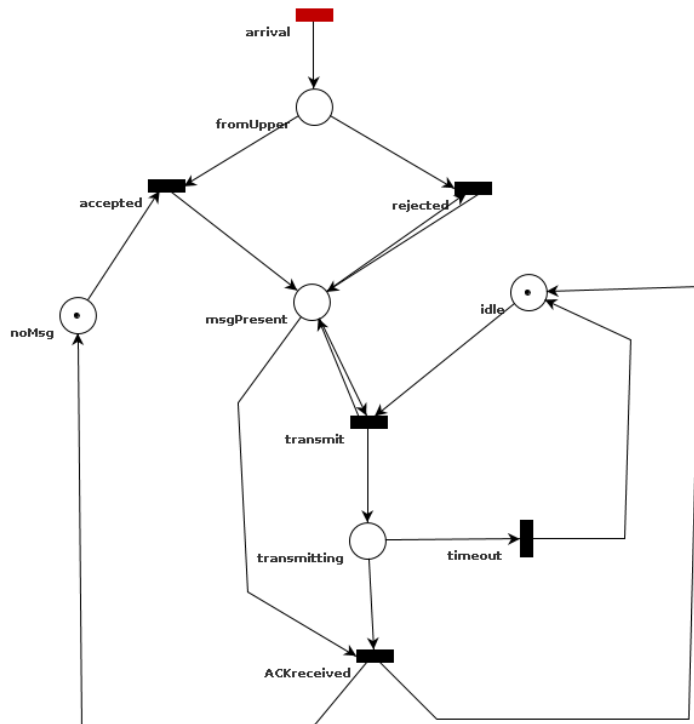
Single transmitter



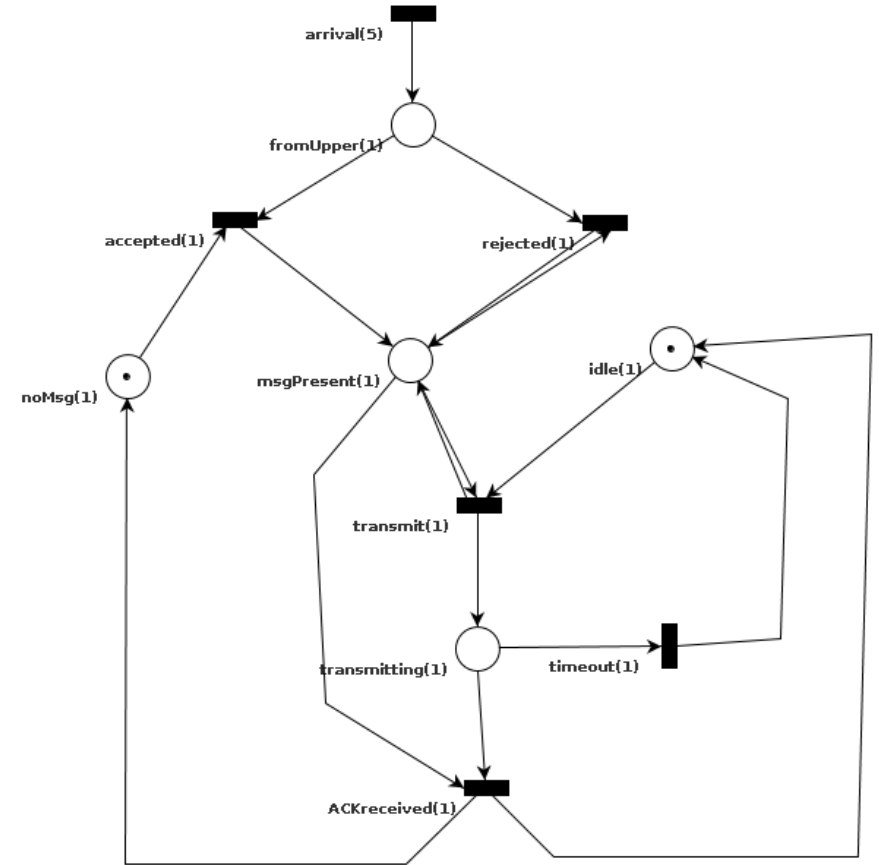
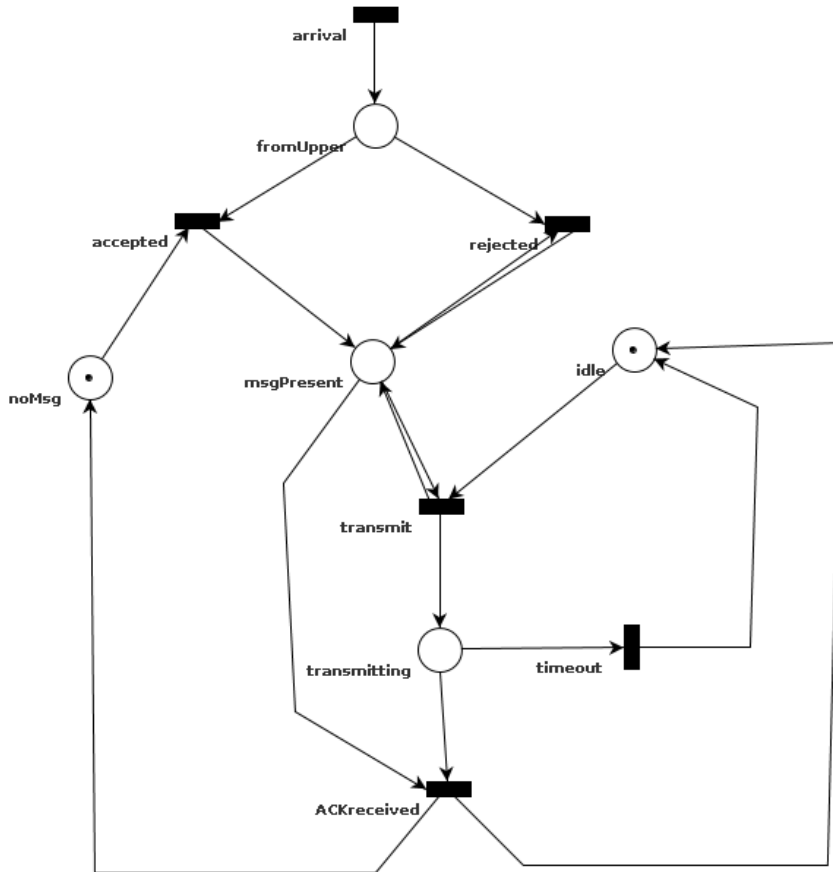
Single transmitter



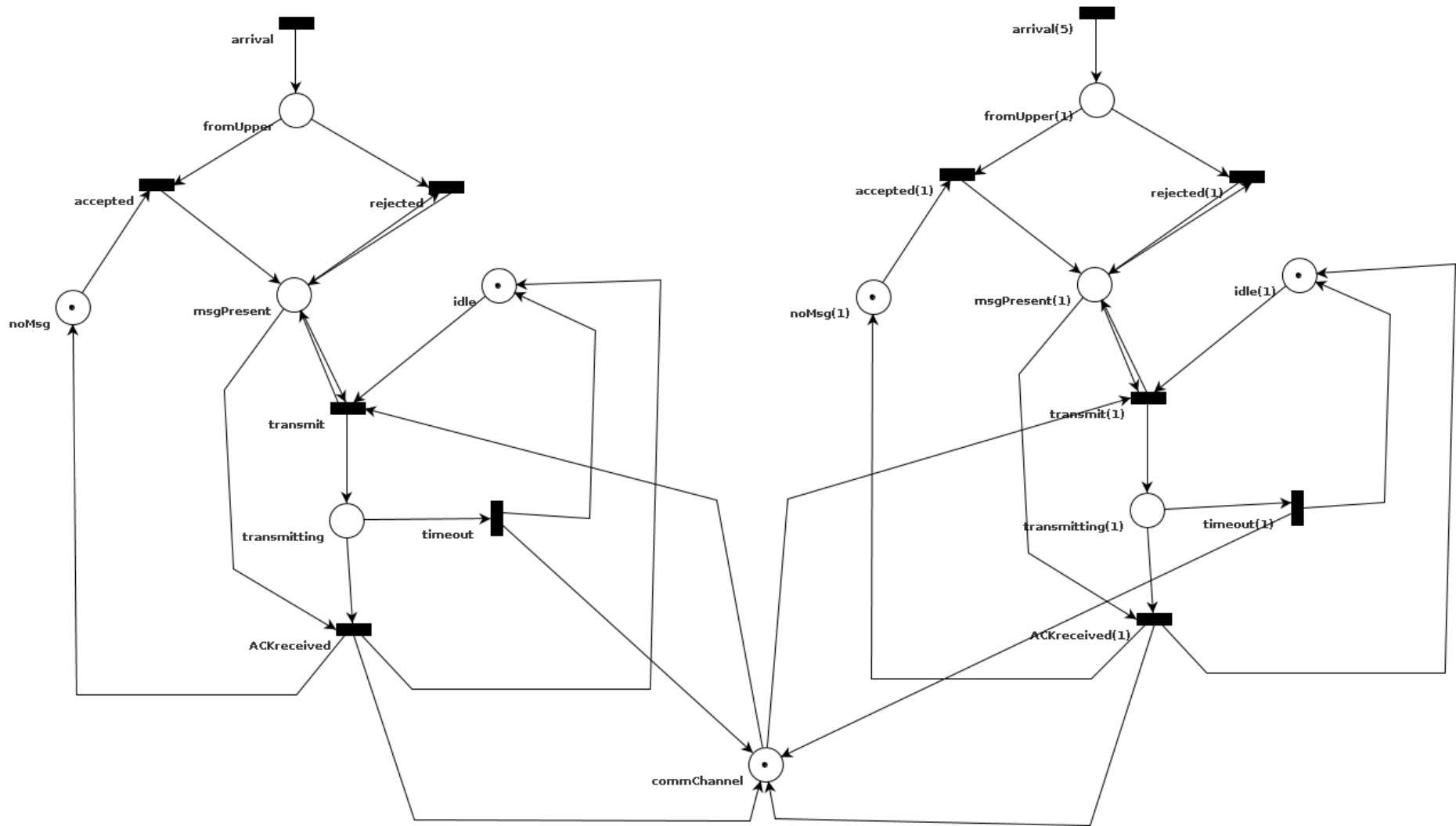
Single transmitter



Two independent transmitters



Two transmitters competing for a single communication channel



Analysis of Petri nets

Analysis of *logical* or *qualitative* behaviour.

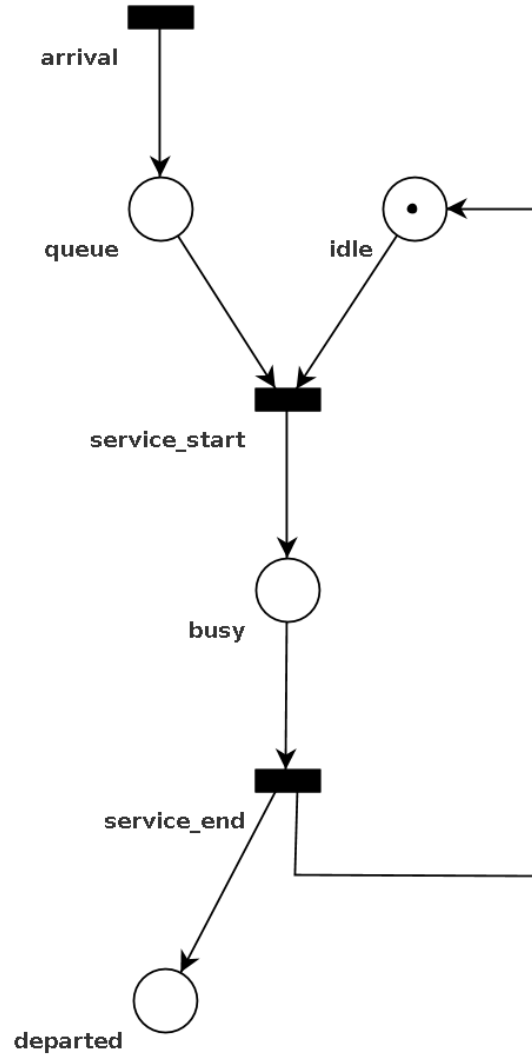
Resource sharing \Rightarrow *fair* usage of resources:

- Boundedness
- Conservation
- Liveness and Deadlock
- State Reachability
- State Coverability
- Persistence
- Language Recognition

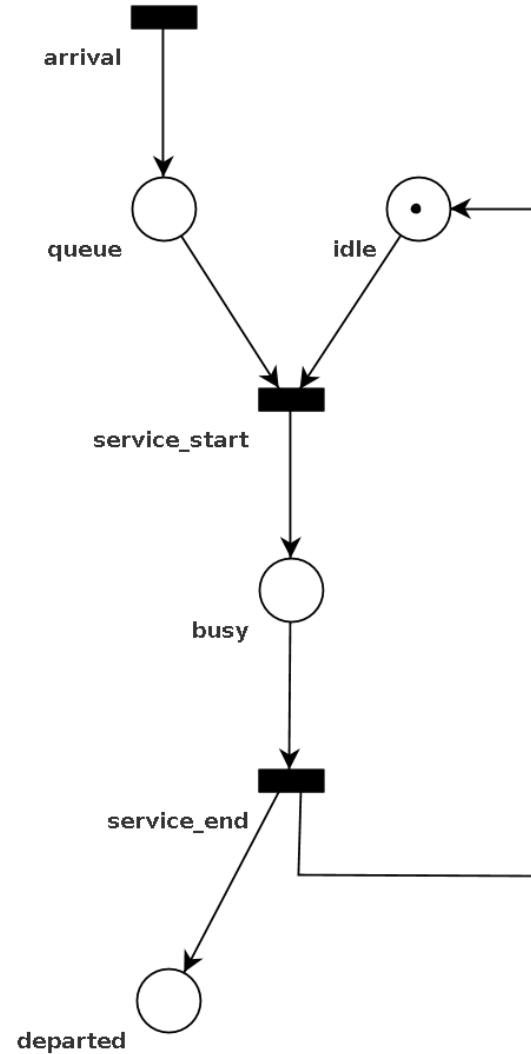
Boundedness

- Example: upper bound on number of customers in queue.
- Definition: A place $p_i \in P$ in a Petri net with initial state \mathbf{x}_0 is *k-bounded* or *k-safe* if $x(p_i) \leq k$ for all states in all possible sample paths.
- A 1-bounded place is called *safe*.
- If a place is *k-bounded* for some k , the place is *bounded*.
- If all places are bounded, the Petri net is *bounded*.

Bounded vs. Unbounded

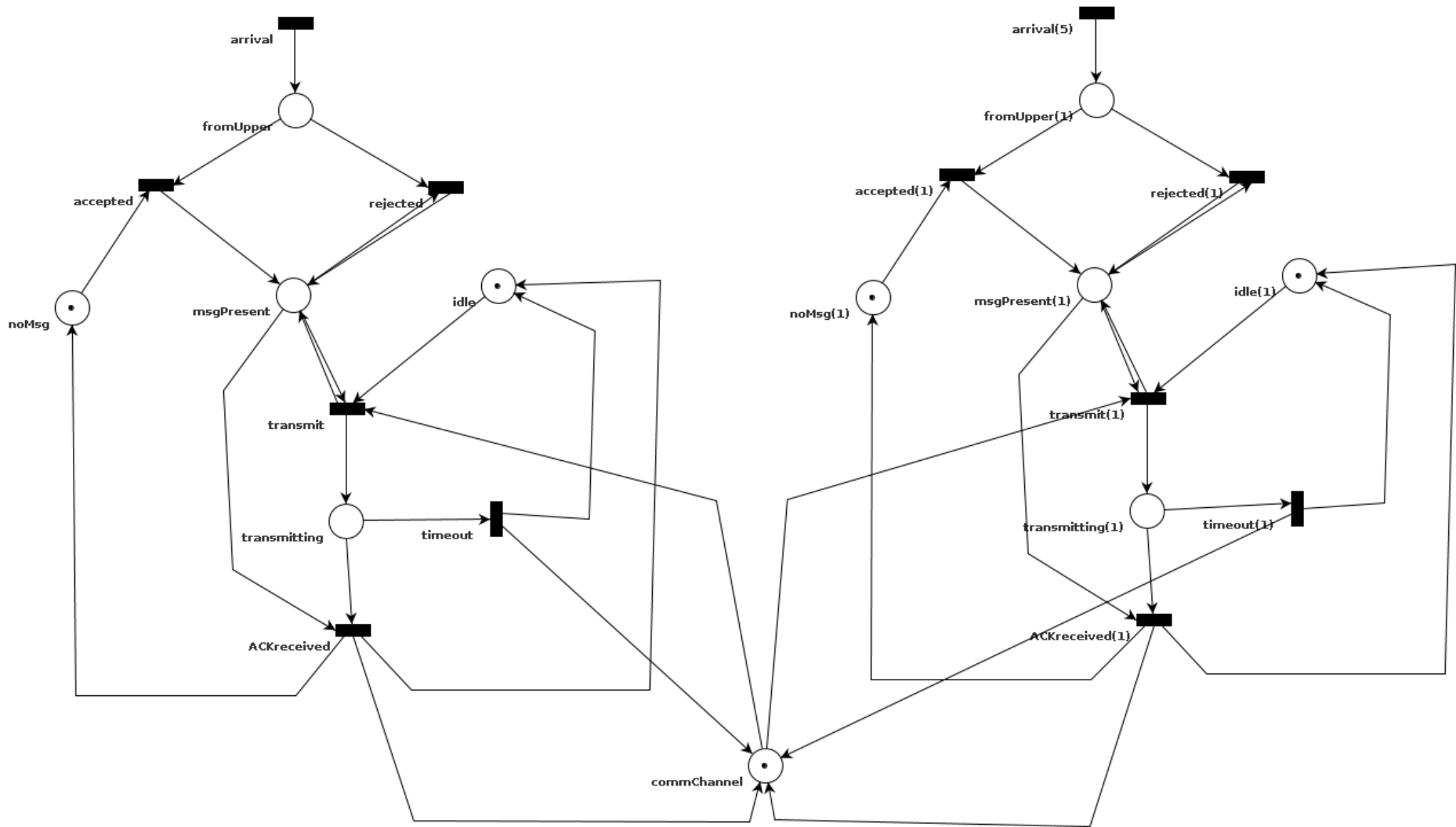


Conservation (invariants)



Sum of `busy` and `idle` marking is *constant* across *all* sample paths

Conservation (invariants): weighted sum



$$2 \times \text{transmitting} + 1 \times \text{idle} + 1 \times \text{commChannel} = 2$$

Conservation

A Petri net with initial state x_0 is
conservative with respect to $\gamma = [\gamma_1, \gamma_2, \dots, \gamma_n]$ if

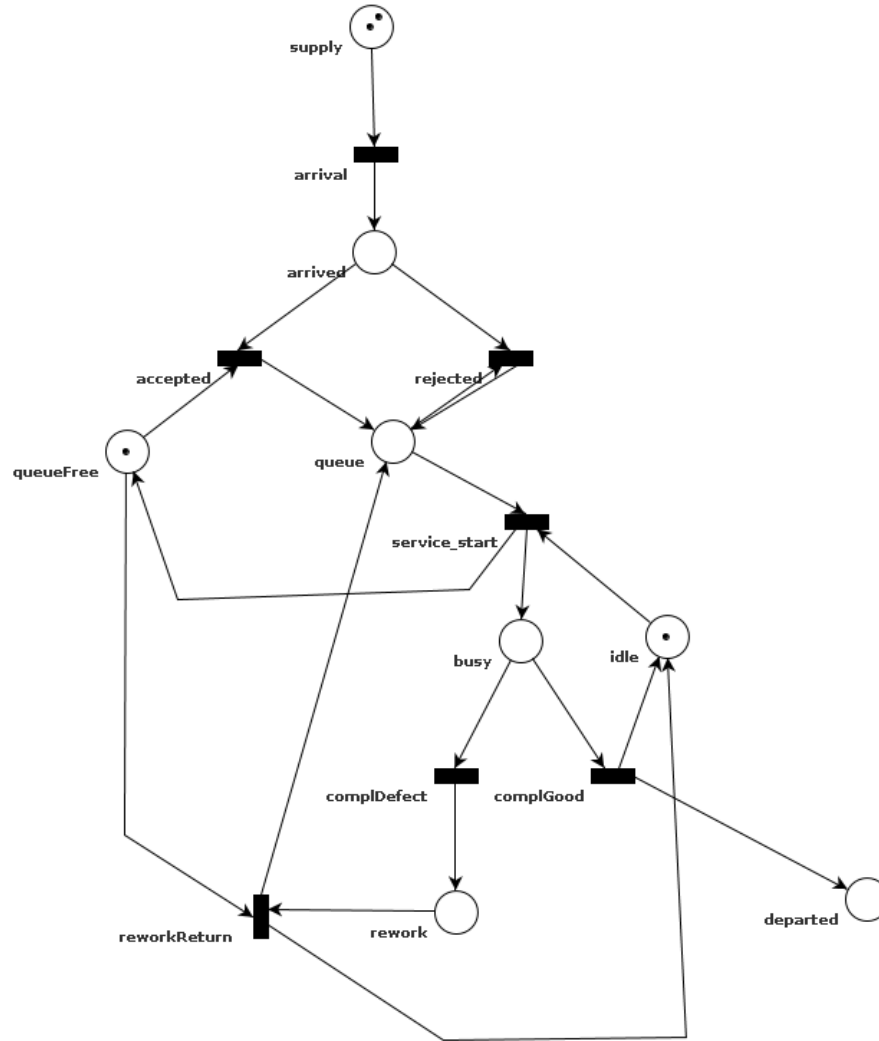
$$\sum_{i=1}^n \gamma_i x(p_i) = \text{constant}$$

for all states in all possible sample paths.

Liveness and Deadlock

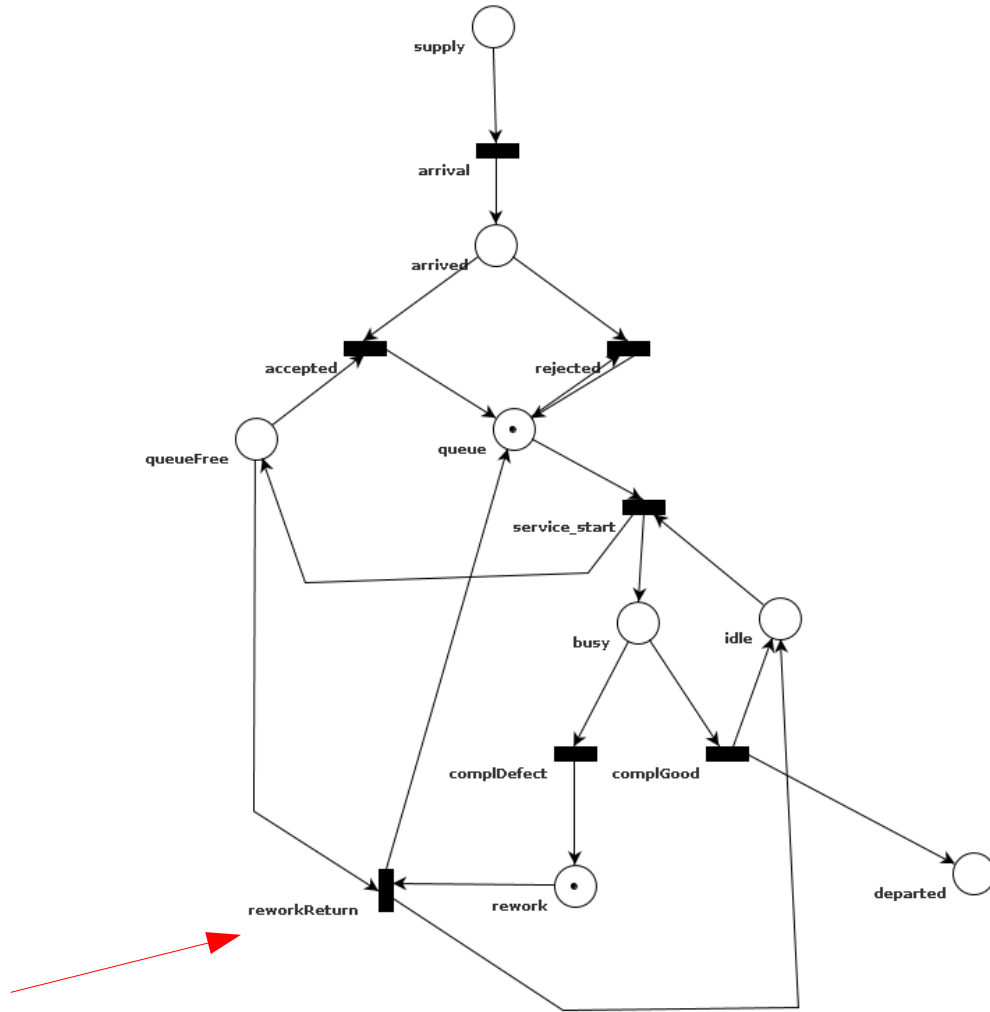
- Cyclic dependency \Rightarrow wait indefinitely
- Deadlock
- Deadlock avoidance: avoid certain states in sample paths

Deadlock in queueing system with rework



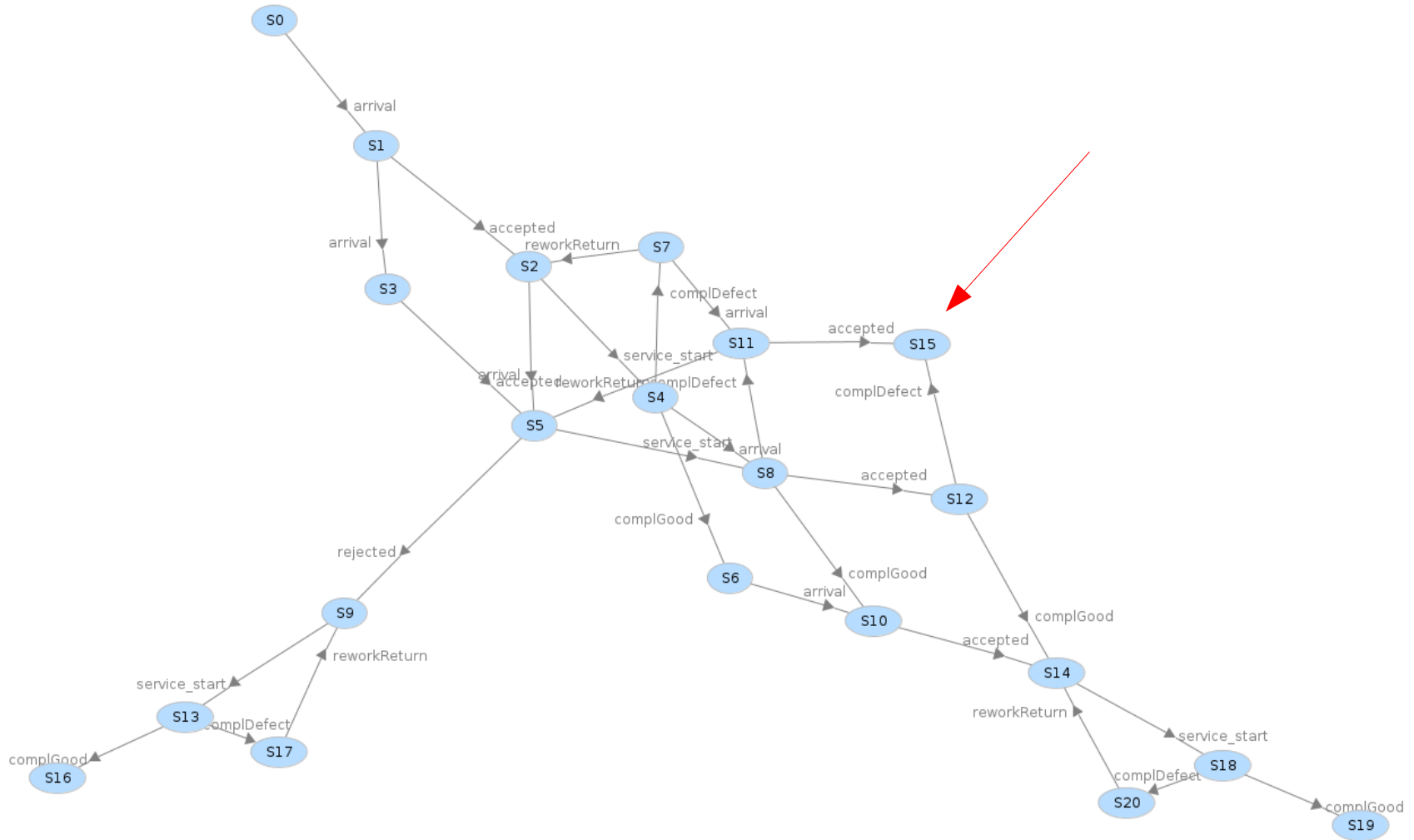
$[\text{queueFree}, \text{queue}, \text{rework}] = [0, 1, 1] \rightarrow \text{deadlock}$

Deadlock in queueing system with rework



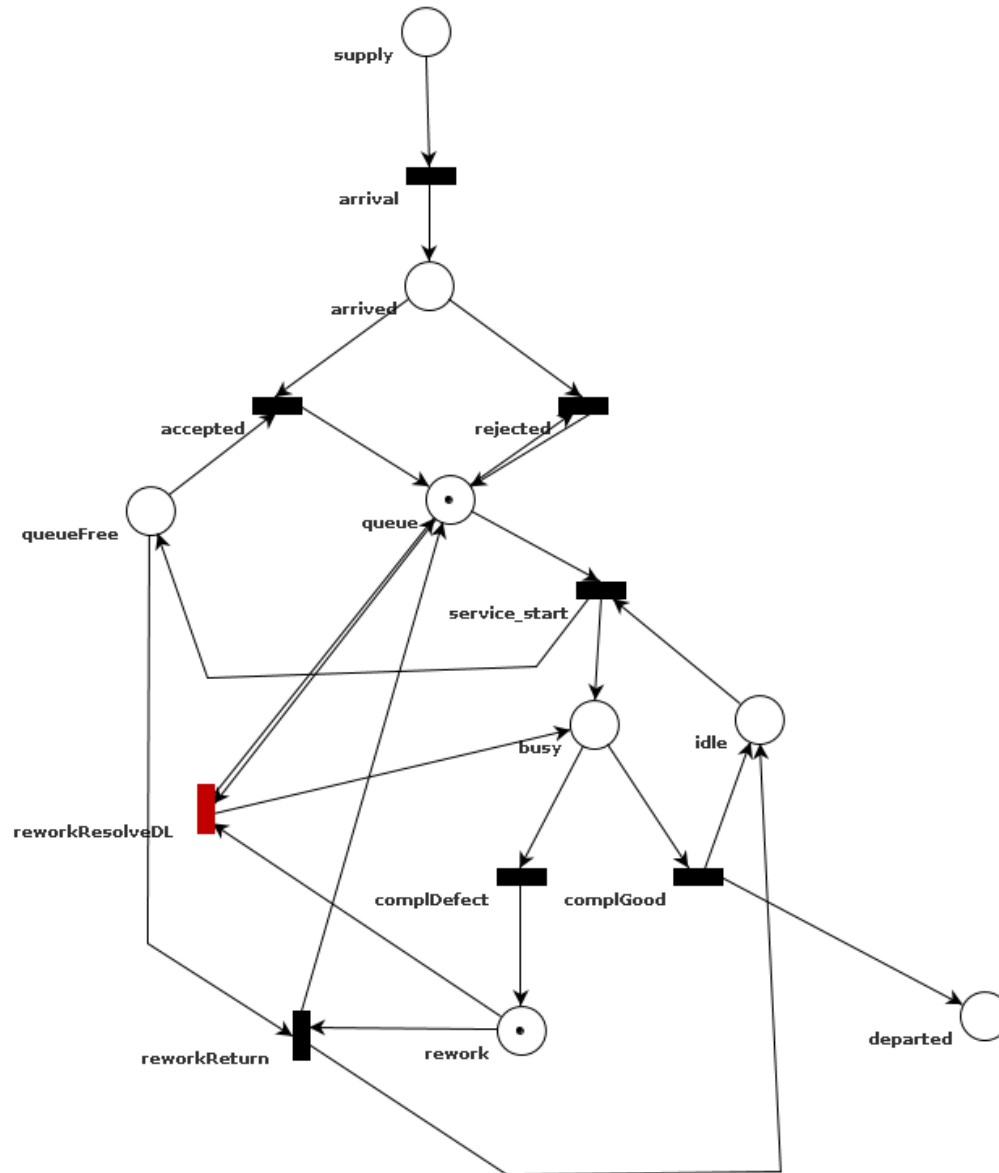
$[queueFree, queue, rework] = [0, 1, 1] \rightarrow \text{deadlock}$

Deadlock in queueing system with rework

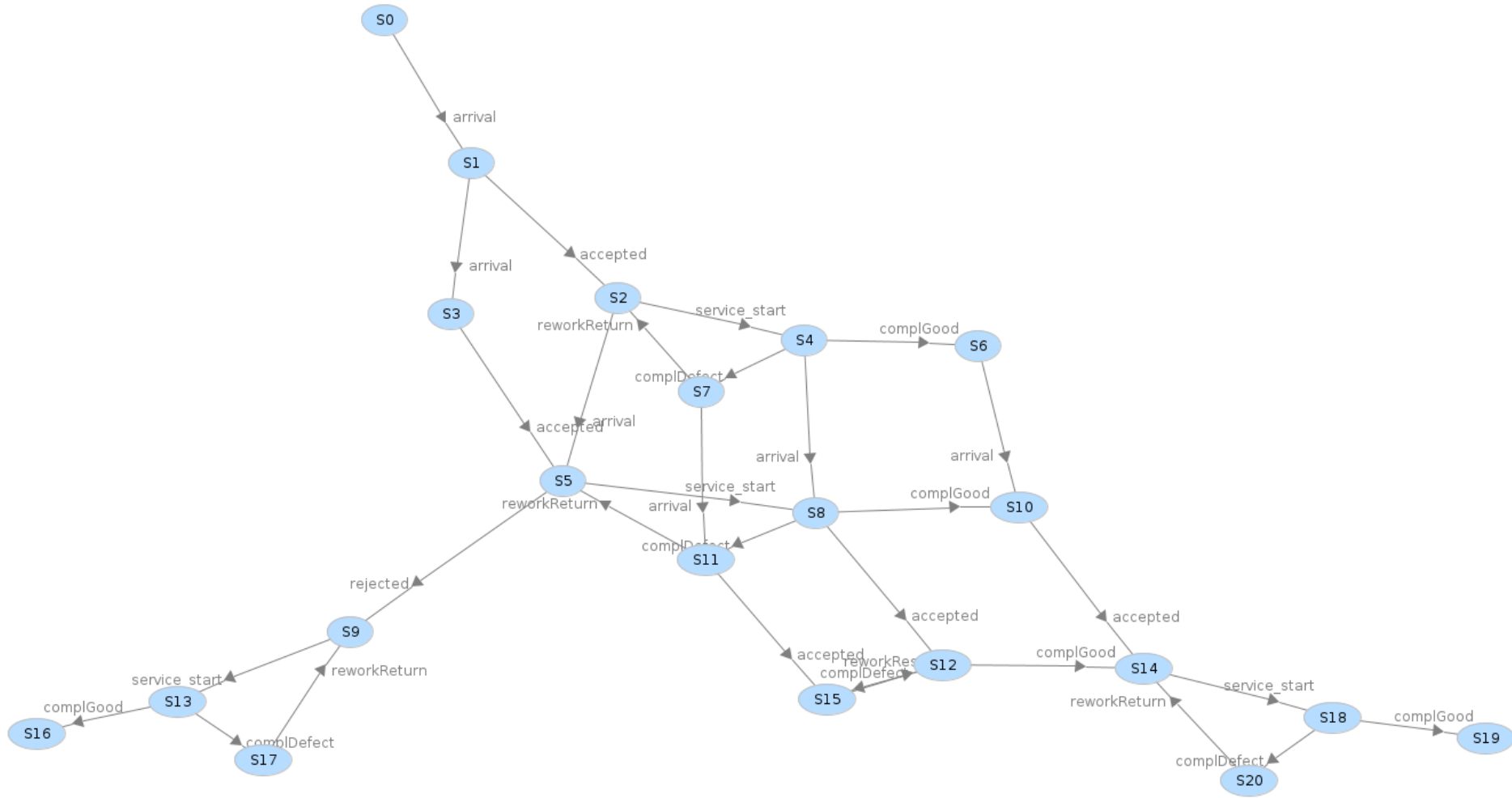


$[\text{queueFree}, \text{queue}, \text{rework}] = [0, 1, 1] \rightarrow \text{deadlock}$

Deadlock resolved (avoided)



Deadlock resolved (avoided)

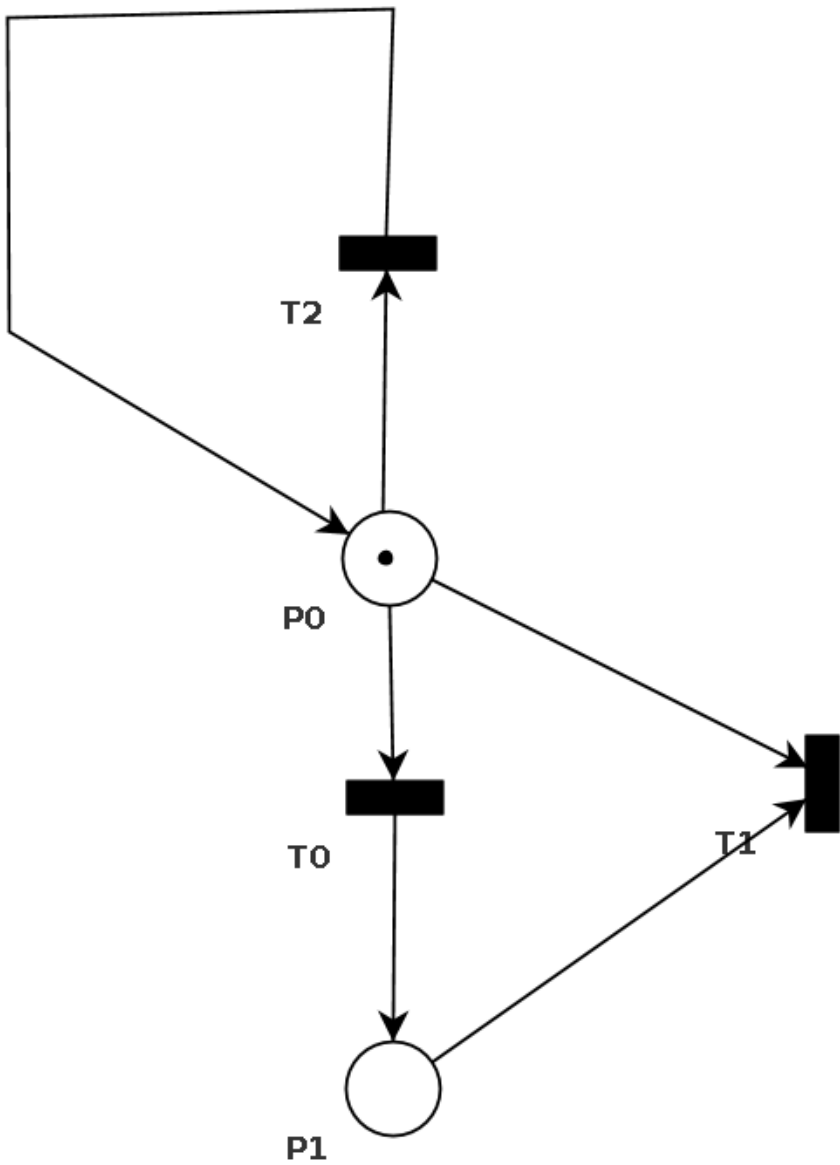


Liveness

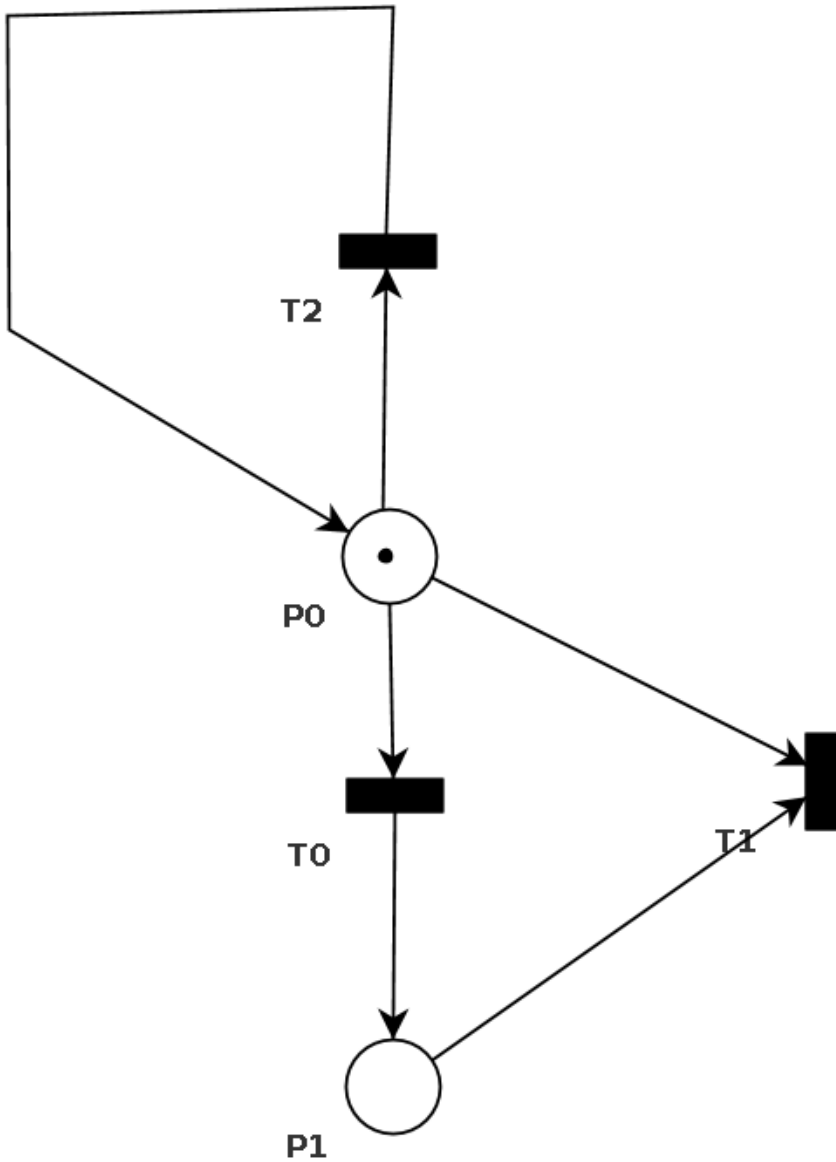
Given initial state \mathbf{x}_0 , a transition in a Petri net is:

- L0-live (dead): if the transition can never fire.
- L1-live: if there is some firing sequence from \mathbf{x}_0 such that the transition can fire at least once.
- L2-live: if the transition can fire at least k times for some given positive integer k .
- L3-live: if there exists some infinite firing sequence in which the transition appears infinitely often.
- L4-live: if the transition is L1-live for every possible state reached from \mathbf{x}_0 .

Liveness example



Liveness example

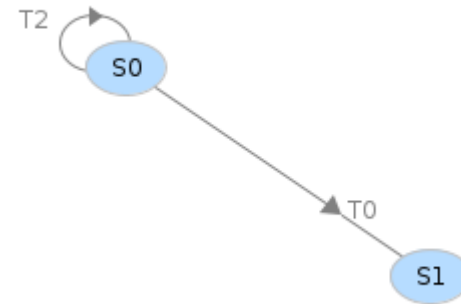
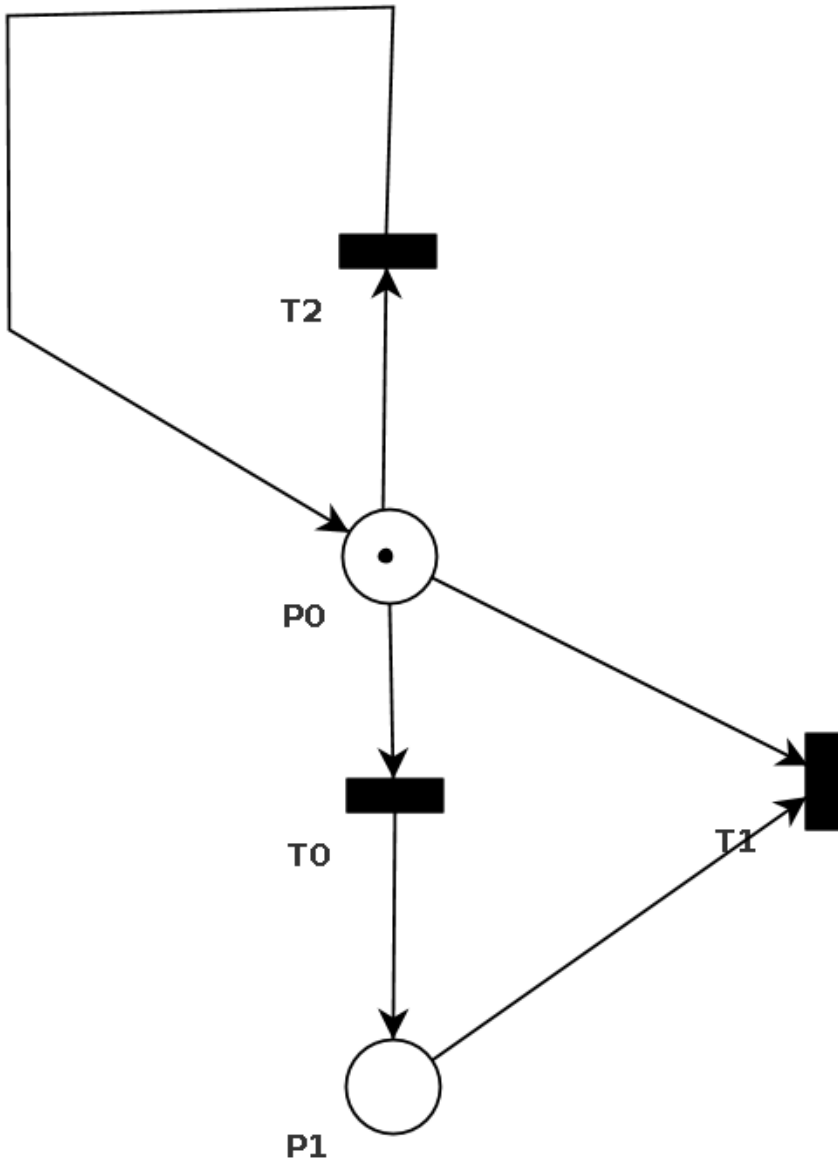


T1 is L1-live

T2 is dead

T3 is L3-live, not L4-live

Liveness example



$$S0 = [1, 0]$$

$$S1 = [0, 1]$$

T1 is L1-live

T2 is dead

T3 is L3-live, not L4-live

State Reachability

- A state \mathbf{x} in a Petri net is *reachable* from a state \mathbf{x}_0 if there exists a sequence of transitions starting at \mathbf{x}_0 such that the state eventually becomes \mathbf{x} .
- Build/use reachability graph.
- Deadlock avoidance is a special case of reachability.

State Coverability

- In a Petri net with initial state \mathbf{x}_0 , a state \mathbf{y} is *coverable* if there exists a sequence of transitions starting at \mathbf{x}_0 such that the state eventually becomes \mathbf{x} and $x(p_i) \geq y(p_i)$.
- Related to L1-liveness: *minimum number of tokens required to enable a transition.*

Persistence

- More than one transition enabled by the same set of conditions (choice, undeterminism).
- If one fires, does the other remain enabled ?
- A Petri net is *persistent* if, for any two enabled transitions, the firing of one cannot disable the other.
- Non-interruptedness (of multiple processes).

Language Recognition

Language defined by Petri net

≡

set of transition sequences which can fire

Fairness

Time

Colour

Coverability Notation

- Root node
- Terminal node
- Duplicate node

Coverability Notation

- Node *dominance*

$$\mathbf{x} = [x(p_1), x(p_2), \dots, x(p_n)]$$

$$\mathbf{y} = [y(p_1), y(p_2), \dots, y(p_n)]$$

$\mathbf{x} >_d \mathbf{y}$ (\mathbf{x} dominates \mathbf{y}) if

1. $x(p_i) \geq y(p_i), \forall i \in \{1, \dots, n\}$
2. $x(p_i) > y(p_i)$ for at least some $i \in \{1, \dots, n\}$

- The symbol ω represents *infinity*

$$\mathbf{x} >_d \mathbf{y}$$

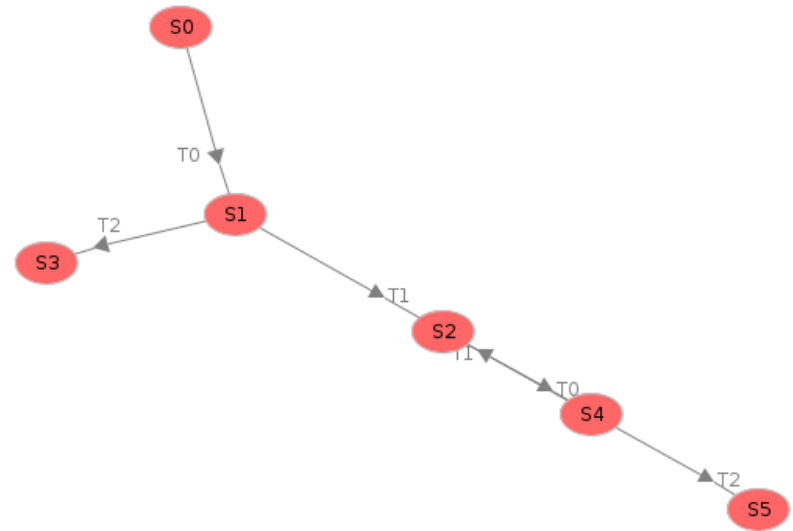
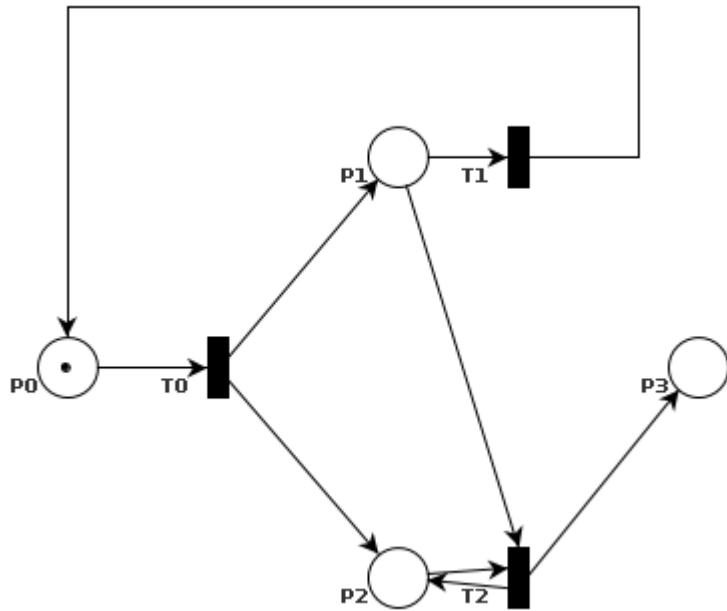
For all i such that $x(p_i) > y(p_i)$, replace $x(p_i)$ by ω

$$\omega + k = \omega = \omega - k$$

Coverability Tree Construction

1. Initialize $\mathbf{x} = \mathbf{x}_0$ (initial state)
2. For each new node \mathbf{x} ,
evaluate the transition function $f(\mathbf{x}, t_i)$ for all $t_j \in T$:
 - (a) if $f(\mathbf{x}, t_j)$ is undefined for all $t_j \in T$, then \mathbf{x} is a terminal node.
 - (b) if $f(\mathbf{x}, t_j)$ is defined for some $t_j \in T$,
create a new node $\mathbf{x}' = f(\mathbf{x}, t_j)$.
 - i. if $x(p_i) = \omega$ for some p_i , set $x'(p_i) = \omega$.
 - ii. If there exists a node \mathbf{y} in the path from root node \mathbf{x}_0 (included) to \mathbf{x} such that $\mathbf{x}' >_d \mathbf{y}$, set $x'(p_i) = \omega$ for all p_i such that $x'(p_i) > y(p_i)$
 - iii. Otherwise, set $\mathbf{x}' = f(\mathbf{x}, t_j)$.
3. Stop if all new nodes are either *terminal* or *duplicate*

Coverability Example



Applications of the Coverability Tree

- Boundedness: ω does not appear in coverability tree
- Bounded Petri net \Rightarrow reachability graph
- Conservation: $\gamma_i = 0$ for ω positions
- Inverse problem: what are γ and C ?
- Coverability: inspect coverability tree
- Limitations: deadlock detection

Path Conditions: LTL and CTL